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10 **UNITED STATES DISTRICT COURT**
11 **NORTHERN DISTRICT OF CALIFORNIA**

12 IN RE: VOLKSWAGEN “CLEAN DIESEL”
13 MARKETING, SALES PRACTICES AND
14 PRODUCT LIABILITY LITIGATION

MDL DOCKET NO. 2672 CRB (JSC)

15 This Document Relates to:
16 ALL CONSUMER ACTIONS
17 ALL RESELLER DEALERSHIP ACTIONS

**CLASS ACTION SETTLEMENT
AGREEMENT AND RELEASE**

1 **1. THE PROPOSED SETTLEMENT**

2 In September 2015, the U.S. Environmental Protection Agency issued a Notice of Violation
3 of the Clean Air Act to Volkswagen AG, Audi AG, and Volkswagen Group of America, Inc.,
4 alleging that certain 2.0-liter Volkswagen and Audi branded turbocharged direct-injection (“TDI”)
5 diesel vehicles in the United States were equipped with “defeat device” software designed to reduce
6 the effectiveness of the vehicles’ emission control systems with respect to nitrogen oxides. A
7 second Notice of Violation followed to those entities and to Dr. Ing. h.c. F. Porsche AG and Porsche
8 Cars North America, Inc. in November 2015, alleging that certain 3.0-liter diesel vehicles were also
9 equipped with illegal “defeat device” software.

10 Starting in September 2015, owners, lessees, and dealers filed hundreds of lawsuits against
11 Volkswagen, Audi, and Porsche in federal and state courts across the United States, which were
12 consolidated in the United States District Court for the Northern District of California before the
13 Honorable Charles R. Breyer (the “Action”). Robert Bosch GmbH and Robert Bosch LLC
14 (collectively, “Bosch”), suppliers to Volkswagen, were named as defendants in 30 of those lawsuits,
15 as well as in the consolidated complaints filed in the Action by the Plaintiffs’ Steering Committee
16 (“PSC”) appointed by Judge Breyer to oversee the litigation on behalf of affected owners, lessees,
17 and dealers.

18 After months of negotiation and the production by Bosch and others of voluminous
19 discovery materials, Bosch and the PSC have reached this Class Action Settlement Agreement (the
20 “Agreement”) to settle the claims of owners, lessees, and reseller dealers who claim to have been
21 affected by the alleged “defeat device” software. As discussed in greater detail below, under this
22 Agreement, Bosch has agreed to create a \$327,500,000 non-reversionary settlement fund (the
23 “Bosch Settlement Fund”), from which payments will be distributed to Class Members. This
24 Agreement will become effective only if the Court approves it.

25 Class Members in this Settlement include all people and entities who are members of the
26 classes in the 2.0-liter and 3.0-liter Class Action Settlements with Volkswagen/Audi/Porsche (the
27 “Volkswagen Settlements”). This Settlement provides additional compensation to those persons, on
28 top of any compensation received from the Volkswagen Settlements. Anyone who submitted an

1 approved claim in either or both of the Volkswagen Settlements *automatically* will receive their
2 Bosch Settlement Fund payment check(s) in the mail. Those people—the vast majority of Class
3 Members—will not need to file claims or do anything else to receive compensation from the Bosch
4 Settlement Fund. Those who excluded themselves from (“opted out of”) either or both Volkswagen
5 Settlements, or who otherwise did not file approved claims in those settlements, will have the
6 opportunity to obtain compensation from the Bosch Settlement Fund through a claims process.

7 **2. DEFINITIONS**

8 As used in this Class Action Settlement Agreement, including the attached exhibits, the
9 terms defined herein have the following meanings, unless this Class Action Settlement Agreement
10 specifically provides otherwise.

11 2.1. “2.0-liter Class Action Settlement” means the settlement related to 2.0-liter Eligible
12 Vehicles that was entered into between plaintiffs and Volkswagen in this Action. That settlement
13 was filed with the Court on July 26, 2016 (ECF No. 1685), and was granted Final Approval by the
14 Court on October 25, 2016 (ECF No. 2102).

15 2.2. “3.0-liter Class Action Settlement” means the settlement related to 3.0-liter Eligible
16 Vehicles that was entered into between plaintiffs and Volkswagen in this Action. At the time of this
17 Agreement that settlement has not yet been submitted to the Court for approval, but it is expected to
18 be filed on January 31, 2017.

19 2.3. “Action” means the coordinated class, mass, and individual actions, however named,
20 that are coordinated pursuant to 28 U.S.C. § 1407 in the United States District Court for the Northern
21 District of California in *In re: Volkswagen “Clean Diesel” Marketing, Sales Practices and Products*
22 *Liability Litigation*, No. 3:15-md-02672-CRB (N.D. Cal.) (MDL 2672) (the “MDL”) in which the
23 Bosch Entities have been named as defendants, except that the Action does not include actions in the
24 MDL brought on behalf of Volkswagen Franchise Dealers.

25 2.4. “Automatic Payments” means the payment checks that, pursuant to this Agreement,
26 automatically will be mailed to all Class Members who have participated in and had their claims
27 approved or will have their claims approved in the future in either or both of the Volkswagen
28 Settlements.

1 2.5. “Bosch” or “Bosch Entities” means Robert Bosch GmbH and Robert Bosch LLC.

2 2.6. “Bosch Settlement Fund” means the fund from which payments will be distributed to
3 Class Members under this Agreement.

4 2.7. “Claim” means the claim of any Class Member or his, her, or its representative
5 submitted on a Claim Form as provided in this Agreement.

6 2.8. “Claim Form” means the document or online form used to submit a Claim under this
7 Agreement.

8 2.9. “Claim Submission Deadline for Volkswagen Settlement Opt-Outs” means the latest
9 date by which a Class Member who excluded himself from (“opted out of”) either or both of the
10 Volkswagen Settlements must submit a Claim to participate in the Claims Program. The Claim
11 Submission Deadline for Volkswagen Settlement Opt-Outs is August 15, 2017.

12 2.10. “Claim Submission Deadline for Eligible Sellers Who Missed the Eligible Seller
13 Identification Deadline in the 2.0-liter Class Action Settlement” means the latest date by which an
14 Eligible Seller who missed the Eligible Seller Identification Deadline in the 2.0-liter Class Action
15 Settlement must submit a Claim to participate in the Claims Program. The Claim Submission
16 Deadline for Eligible Sellers Who Missed the Eligible Seller Identification Deadline in the 2.0-liter
17 Class Action Settlement is May 1, 2017.

18 2.11. “Claim Submission Deadline for Eligible Former Owners Who Do Not Identify
19 Themselves” means the latest date by which an Eligible Former Owner who does not timely identify
20 themselves in the 3.0-liter Class Action Settlement must submit a Claim to participate in the Claims
21 Program. The Claim Submission Deadline for Eligible Former Owners Who Do Not Identify
22 Themselves is May 1, 2017.

23 2.12. “Claimant” means a Class Member who has completed and submitted a Claim Form
24 and all required documentation, and has been deemed eligible by the Claims Administrator.

25 2.13. “Claims Administrator” means Epiq Systems, Inc., the administrator engaged by the
26 PSC and Bosch to implement this Agreement.

27 2.14. “Claims Program” means the program through which Class Members may file Claims
28 and, if eligible, obtain benefits under this Agreement.

1 Class Action Settlement Agreement, as listed in the moving papers submitted for preliminary
2 approval of this Class Action Settlement Agreement.

3 2.21. “Complaints” means the Amended Consolidated Consumer Class Action Complaint
4 filed in the Action on September 2, 2016, ECF No. 1804; and the Second Amended Consolidated
5 Reseller Dealership Class Action Complaint filed in the Action on September 2, 2016, ECF No.
6 1805.

7 2.22. “Court” means the United States District Court for the Northern District of
8 California, San Francisco Division.

9 2.23. “Defendants” means Robert Bosch GmbH and Robert Bosch LLC

10 2.24. “Effective Date” means the date the Court enters the Final Approval Order.

11 2.25. “Eligible Former Lessee” in the 3.0-liter Class Action Settlement means a lessee who
12 leased an Eligible Vehicle from VW Credit, Inc. or Porsche Financial Services, Inc. as of September
13 18, 2015 and/or November 2, 2015, and who surrendered the Leased Eligible Vehicle on or before
14 January 31, 2017.

15 2.26. “Eligible Former Owner” in the 3.0-liter Class Action Settlement means a person who
16 purchased or otherwise acquired an Eligible Vehicle on or before September 18, 2015, and sold or
17 otherwise transferred ownership of such vehicle after September 18, 2015 but before January 31,
18 2017, or who acquired an Eligible Vehicle on or before November 2, 2015, and sold or otherwise
19 transferred ownership of such vehicle after November 2, 2015 but before January 31, 2017. For
20 avoidance of doubt, a sale or transfer of ownership under this definition includes the transfer of
21 ownership of an Eligible Vehicle to an insurance company.

22 2.27. “Eligible Lessee” in the 2.0-liter Class Action Settlement means (1) the current lessee
23 or lessees of an Eligible Vehicle with a lease issued by VW Credit, Inc.; (2) the former lessee or
24 lessees of an Eligible Vehicle who had an active lease issued by VW Credit, Inc. as of September 18,
25 2015 and who surrendered or surrenders the leased Eligible Vehicle to Volkswagen; or (3) the owner
26 of an Eligible Vehicle who had an active lease issued by VW Credit, Inc. as of September 18, 2015,
27 and who acquired ownership of the previously leased Eligible Vehicle at the conclusion of the lease
28

1 after June 28, 2016. For avoidance of doubt, no person shall be considered an Eligible Lessee by
2 virtue of holding a lease issued by a lessor other than VW Credit, Inc.

3 2.28. "Eligible Lessee" in the 3.0-liter Class Action Settlement means (1) the current lessee
4 or lessees of an Eligible Vehicle with a lease issued by Volkswagen Credit, Inc. (Generation One
5 vehicles) or Volkswagen Credit, Inc. or Porsche Financial Services, Inc. (Generation Two vehicles);
6 (2) a lessee or lessees of an Eligible Vehicle who had an active lease issued by VW Credit, Inc.
7 (Generation One vehicles) or Volkswagen Credit, Inc. or Porsche Financial Services, Inc.
8 (Generation Two vehicles) as of September 18, 2015 and/or November 2, 2015 and who surrendered
9 or surrenders the leased Eligible Vehicle to Volkswagen, or otherwise no longer possesses, the
10 Eligible Vehicle, after January 31, 2017, but before the Claim Submission Deadline; or (3) the owner
11 of an Eligible Vehicle who had an active lease issued by Volkswagen Credit, Inc. (Generation One
12 vehicles) or Volkswagen Credit, Inc. or Porsche Financial Services, Inc. (Generation Two vehicles)
13 as of September 18, 2015 and/or November 2, 2015, and who acquired ownership of the previously
14 leased Eligible Vehicle at the conclusion of the lease after January 31, 2017. For avoidance of
15 doubt, no person shall be considered an Eligible Lessee by virtue of holding a lease issued by a
16 lessor other than Volkswagen Credit, Inc. or Porsche Financial Services, Inc.

17 2.29. "Eligible Owner" in the 2.0-liter Class Action Settlement means the registered owner
18 or owners of an Eligible Vehicle on June 28, 2016, or the registered owner or owners who acquire an
19 Eligible Vehicle after June 28, 2016, but before the end of the Claim Period, except that the owner of
20 an Eligible Vehicle who had an active lease issued by VW Credit, Inc. as of September 18, 2015,
21 and purchased an Eligible Vehicle previously leased by that owner after June 28, 2016, shall be an
22 Eligible Lessee. A Non-Volkswagen Dealer who, on or after June 28, 2016, holds title to or holds
23 by bill of sale an Eligible Vehicle in the United States or its territories shall qualify as an Eligible
24 Owner regardless of whether that Non-Volkswagen Dealer is registered as the owner of the Eligible
25 Vehicle, provided that the Non-Volkswagen Dealer otherwise meets the definition of Eligible
26 Owner. For avoidance of doubt, an Eligible Owner ceases to be an Eligible Owner if he transfers
27 ownership of the Eligible Vehicle to a third party on or after June 28, 2016; and a third party who
28 acquires ownership of an Eligible Vehicle on or after June 28, 2016, thereby becomes an Eligible

1 Owner if that third party otherwise meets the definition of an Eligible Owner. An owner of an
2 Eligible Vehicle will not qualify as an Eligible Owner while the Eligible Vehicle is under lease to
3 any third party, although any such owner, including any leasing company other than VW Credit,
4 Inc., who otherwise meets the definition of an Eligible Owner would become an Eligible Owner if
5 such lease has been canceled or terminated and the owner has taken possession of the vehicle. In
6 exceptional cases, specific arrangements may be made with the leasing company, in consultation
7 with the Claims Supervisor, such that, (1) without canceling or terminating the lease, the leasing
8 company may be treated as an Eligible Owner and obtain an Approved Emissions Modification and
9 Owner Restitution and (2) a lessor that takes possession of a leased Eligible Vehicle after the
10 September 1, 2018, Claim submission deadline (or the December 30, 2018, end date of the Claim
11 Program) may nonetheless be entitled to submit a Claim.

12 2.30. "Eligible Owner" in the 3.0-liter Class Action Settlement means the owner or owners
13 of an Eligible Vehicle on September 18, 2015, or the owner or owners who acquire an Eligible
14 Vehicle after September 18, 2015, but before the end of the Settlement Benefit Period, except that
15 the owner of an Eligible Vehicle who had an active lease issued by Volkswagen Credit, Inc.
16 (Generation One vehicles) or Volkswagen Credit, Inc. or Porsche Financial Services, Inc.
17 (Generation Two vehicles) as of September 18, 2015 and/or November 2, 2015, and purchased that
18 previously leased Eligible Vehicle off lease on or before January 31, 2017, shall be an Eligible
19 Lessee. For avoidance of doubt, an Eligible Owner ceases to be an Eligible Owner if he transfers
20 ownership of the Eligible Vehicle to a third party. A third party who acquires ownership of an
21 Eligible Vehicle thereby becomes an Eligible Owner if that third party otherwise meets the definition
22 of an Eligible Owner. An owner of an Eligible Vehicle will not qualify as an Eligible Owner while
23 the Eligible Vehicle is under lease to any third party, although any such owner, including any leasing
24 company other than VW Credit, Inc. or Porsche Financial Services, Inc., who otherwise meets the
25 definition of an Eligible Owner would become an Eligible Owner if such lease has been canceled or
26 terminated and the owner has taken possession of the vehicle. In exceptional cases, specific
27 arrangements may be made with the leasing company, in consultation with the Claims Supervisor,
28 such that, (1) without canceling or terminating the lease, the leasing company may be treated as an

1 Eligible Owner and obtain (a) an Emissions Compliant Repair plus Lessee Repair Payment or (b) a
2 Reduced Emissions Modification plus Owner Restitution, as appropriate, and (2) a lessor that takes
3 possession of a leased Eligible Vehicle after the Claim Submission Deadline (or the end date of the
4 Claim Program) may nonetheless be entitled to submit a Claim..

5 2.31. "Eligible Seller" in the 2.0-liter Class Action Settlement means a person who
6 purchased or otherwise acquired an Eligible Vehicle on or before September 18, 2015, and sold or
7 otherwise transferred ownership of such vehicle after September 18, 2015, but before June 28, 2016.
8 For avoidance of doubt, Eligible Seller includes any owner (1) who acquired his, her, or its Eligible
9 Vehicle on or before September 18, 2015, (2) whose Eligible Vehicle was totaled, and (3) who
10 consequently transferred title of his, her, or its vehicle to an insurance company after September 18,
11 2015, but before June 28, 2016.

12 2.32. "Eligible Vehicle" means an eligible vehicle as defined by either the 2.0-liter Class
13 Action Settlement or the 3.0-liter Class Action Settlement.

14 2.32.1. An "Eligible Vehicle" as defined by the 2.0-liter Class Action Settlement
15 means Model Year 2009 through 2015 Volkswagen and Audi light-duty
16 vehicles equipped with 2.0-liter TDI engines that (1) are covered, or purported
17 to be covered, by the EPA Test Groups in the table immediately below this
18 paragraph; and (2) are, at any point during the period September 18, 2015 to
19 June 28, 2016, registered with a state Department of Motor Vehicles or
20 equivalent agency or owned by a Non-Volkswagen Dealer in the United
21 States or its territories that (a) holds title to the vehicle or (b) holds the vehicle
22 by bill of sale. Eligible Vehicle also excludes any Volkswagen or Audi
23 vehicle that was never sold in the United States or its territories. 2.0-liter
24 Eligible Vehicles are listed in the table below.
25
26
27
28

Model Year	EPA Test Group	Make and Model(s)
2009	9VWXV02.035N	VW Jetta, VW Jetta SportWagen
2009	9VWXV02.0U5N	VW Jetta, VW Jetta SportWagen
2010	AVWXV02.0U5N	VW Golf, VW Jetta, VW Jetta SportWagen, Audi A3
2011	BVWXV02.0U5N	VW Golf, VW Jetta, VW Jetta SportWagen, Audi A3
2012	CVWXV02.0U5N	VW Golf, VW Jetta, VW Jetta SportWagen, Audi A3
2012	CVWXV02.0U4S	VW Passat
2013	DVWXV02.0U5N	VW Beetle, VW Beetle Convertible, VW Golf, VW Jetta, VW Jetta SportWagen, Audi A3
2013	DVWXV02.0U4S	VW Passat
2014	EVWXV02.0U5N	VW Beetle, VW Beetle Convertible, VW Golf, VW Jetta, VW Jetta SportWagen
2014	EVWXV02.0U4S	VW Passat
2015	FVGAV02.0VAL	VW Beetle, VW Beetle Convertible, VW Golf, VW Golf SportWagen, VW Jetta, VW Passat, Audi A3

2.32.2. An “Eligible Vehicle” as defined by the 3.0-liter Class Action Settlement means the Model Year 2009 through 2016 Volkswagen and Audi and Model Year 2013 through 2016 Porsche light-duty vehicles equipped with 3.0-liter TDI engines that (1) are covered, or purported to be covered, by the EPA Test Groups in the table immediately below this paragraph; (2) are, at any point during the period September 18, 2015 to the Filing Date, registered with a state Department of Motor Vehicles or equivalent agency or owned by a Non-Authorized Dealer in the United States or its territories that (a) holds title to the vehicle or (b) holds the vehicle by bill of sale; and (3) have not been modified pursuant to an Approved Emissions Modification. Eligible Vehicle also excludes any Volkswagen, Audi, or Porsche vehicle that was never sold or registered in the United States or its territories. A vehicle must be Operable to be considered an Eligible Vehicle for the purpose of the Buyback, Trade-In, Reduced Emissions Modification, or Emissions Compliant Repair. 3.0-liter Eligible Vehicles are listed in the table below:

Model Year	EPA Test Group(s)	Vehicle Make and Model(s)	Sub-Generation
2009	9ADXT03.03LD	VW Touareg, Audi Q7	1.1
2010	AADXT03.03LD	VW Touareg, Audi Q7	1.1
2011	BADXT03.02UG BADXT03.03UG	VW Touareg Audi Q7	1.2
2012	CADXT03.02UG CADXT03.03UG	VW Touareg Audi Q7	1.2
2013	DADXT03.02UG DADXT03.03UG DPRXT03.0CDD	VW Touareg Audi Q7 Porsche Cayenne Diesel	2.1 SUV
2014	EADXT03.02UG EADXT03.03UG EPRXT03.0CDD	VW Touareg Audi Q7 Porsche Cayenne Diesel	2.1 SUV
2014	EADXJ03.04UG	Audi: A6 quattro, A7 quattro, A8, A8L, Q5	2 PC
2015	FVGAT03.0NU3	Audi: Q7, A6 quattro, A7 quattro, A8, A8L, Q5	2.1 SUV
2015	FVGAT03.0NU2 FPRXT03.0CDD	VW Touareg Porsche Cayenne Diesel	2.2 SUV
2015	FVGAJ03.0NU4	Audi: A6 quattro, A7 quattro, A8, A8L, Q5	2 PC
2016	GVGAT03.0NU2 GPRXT03.0CDD	VW Touareg Porsche Cayenne Diesel	2.2 SUV
2016	GVGAJ03.0NU4	Audi: A6 quattro, A7 quattro, A8, A8L, Q5	2 PC

2.33. “Eligible Sellers Who Missed the Eligible Seller Identification Deadline in the 2.0-liter Class Action Settlement” means Eligible Sellers in the Volkswagen 2.0-liter Class Action Settlement who did not identify themselves during the Eligible Seller Identification Period in the Volkswagen 2.0-liter Class Action Settlement and who did not opt out of the 2.0-liter Class Action Settlement.

1 2.34. “Escrow Account” means the escrow account managed by the Escrow Agent, which
2 shall be the sole escrow account for compensation of Class Members under the Class Action
3 Settlement Agreement.

4 2.35. “Escrow Agent” means the agreed-upon entity to address and hold for distribution the
5 funds identified in this Class Action Settlement Agreement. The Parties agree that Citi Private Bank
6 shall serve as Escrow Agent, subject to approval by the Court.

7 2.36. “Fairness Hearing” means the hearing held by the Court for the purpose of
8 determining whether to approve this Class Action Settlement Agreement as fair, reasonable, and
9 adequate.

10 2.37. “Final Approval Order” means the Court’s order granting final approval to the Class
11 Action Settlement Agreement.

12 2.38. “Lead Plaintiffs’ Counsel” means Elizabeth Cabraser of Lieff, Cabraser, Heimann &
13 Bernstein, LLP, who was appointed by the Court on January 21, 2016.

14 2.39. “Long Form Notice” means the Long Form Notice substantially in the form attached
15 hereto as Exhibit 1.

16 2.40. “Notice Administrator” means the third-party agent or administrator agreed to by the
17 Parties and appointed by the Court to implement and consult on Class Notice. The Parties agree that
18 Epiq shall serve as Notice Administrator, subject to approval by the Court.

19 2.41. “Objection Deadline” means the last day a Class Member may object to the
20 Settlement, which is April 14, 2017 for all Class Members who are Class Members as of that date.

21 2.42. “Opt-Out Deadline” means the last day a Class Member may opt out of the Class,
22 which is April 14, 2017, for all Class Members who are Class Members as of that date.

23 2.43. “Parties” means the Class Representatives and Bosch, collectively, as each of those
24 terms is defined in this Class Action Settlement Agreement.

25 2.44. “Plaintiffs’ Steering Committee” or “PSC” means those counsel appointed to the
26 Plaintiffs’ Steering Committee by the Court in this Action on January 21, 2016. Lead Counsel is
27 Chair of the PSC.
28

1 2.45. “Preliminary Approval Order” means the order that may, at the discretion of the
2 Court, be entered by the Court preliminarily approving the Class Action Settlement Agreement.

3 2.46. “Release” means the release and waiver described in Section 9 of this Class Action
4 Settlement Agreement and in the Final Approval Order. In addition, Class Members who receive
5 payment from the Bosch Settlement Fund pursuant to this Class Action Settlement Agreement will
6 individually release their claims against Bosch by endorsing and depositing the Bosch Settlement
7 Fund compensation checks they receive, as described in Section 9.6 of the Class Action Settlement
8 Agreement, and that release will remain valid even if the Final Approval Order is later reversed
9 and/or vacated on appeal.

10 2.47. “Released Party” or “Released Parties” has the definition set forth in Section 9.2 of
11 this Class Action Settlement Agreement.

12 2.48. “Settlement Benefit Period” means the time period during which Class Members may
13 obtain benefits under the Class Action Settlement Agreement. The Settlement Benefit Period shall
14 run from entry of the Effective Date until April 30, 2020.

15 2.49. “Settlement Website” means the public website that provides information and key
16 filings regarding the Class Action Settlement Agreement, including FAQs. Class Members who are
17 not entitled to Automatic Payments will be permitted to file Claim Forms on the Settlement Website
18 (as well as by mail).

19 2.50. “Short Form Notice” means the Short Form Notice substantially in the form attached
20 hereto as Exhibit 2.

21 2.51. “Volkswagen” means Volkswagen AG, Volkswagen Group of America, Inc.,
22 Volkswagen Group of America Chattanooga Operations, LLC, VW Credit, Inc., Audi AG, Audi of
23 America LLC, Dr. Ing. h.c. F. Porsche AG, and Porsche Cars North America, Inc.

24 2.52. “Volkswagen Franchise Dealer” means any Volkswagen-branded franchise
25 automobile dealer that operated in the United States or its territories as of or at any time since
26 September 18, 2015.

27 2.53. “Volkswagen Settlement Opt Outs” means Eligible Owners, Eligible Sellers, and
28 Eligible Lessees in the 2.0-liter Class Action Settlement and Eligible Owners, Eligible Former

1 Owners, Eligible Lessees, and Eligible Former Lessees in the 3.0-liter Class Action Settlement who
2 excluded themselves from (“opted out of”) either or both of those settlements.

3 2.54. “Volkswagen Settlements” means the combination of the 2.0-liter Class Action
4 Settlement and the 3.0-liter Class Action Settlement.

5 2.55. Other capitalized terms used in this Class Action Settlement Agreement but not
6 defined in this Section shall have the meanings ascribed to them elsewhere in this Class Action
7 Settlement Agreement and/or in the Volkswagen Settlements. In the event that a term is defined
8 differently in the Volkswagen Settlements and this Agreement, the definition provided in this
9 Agreement will control.

10 2.56. The term “he or she” and “his or her” include “it” or “its” where applicable.

11 **3. PRELIMINARY APPROVAL BY THE COURT AND CLASS CERTIFICATION**

12 3.1. Promptly after this Class Action Settlement Agreement is signed, but by no later than
13 January 31, 2017, the Parties shall file the Class Action Settlement Agreement with the Court,
14 together with a Motion for Preliminary Approval of the Class Action Settlement Agreement and
15 Approval of Class Notice. Simultaneously, the Class Representatives shall move for certification of
16 the Class for settlement purposes only, pursuant to Federal Rule of Civil Procedure (“Fed. R. Civ.
17 P.”) 23(a), 23(b)(3), and 23(e). It is expressly agreed that any certification of the Class shall be for
18 settlement purposes only, and Bosch does not waive any arguments that it may have that class
19 certification for any other purpose would be improper.

20 3.2. The Parties agree to take all actions and steps reasonably necessary to obtain a
21 Preliminary Approval Order from the Court.

22 **4. BOSCH SETTLEMENT FUND**

23 4.1. In consideration for the full and complete Release and the Final Approval Order, as
24 contemplated in this Class Action Settlement Agreement, Bosch agrees to pay \$327,500,000 to
25 create a non-reversionary settlement fund called the Bosch Settlement Fund. Bosch will make the
26 \$327,500,000 payment to the Escrow Account by wire transfer no later than ten business days after
27 the Court enters the Preliminary Approval Order.
28

1 4.2. After payment of the Bosch Settlement Fund, under no circumstances shall Bosch
2 have any obligation to make any additional payments except as provided in Sections 5.3 and 8.2.

3 4.3. The Bosch Settlement Fund, plus any interest earned thereon, will be distributed over
4 the course of the Settlement Benefit Period through a combination of payments to Class Members,
5 payment of Court-approved attorneys' fees and expenses, and if funds remain in the Escrow Account
6 at the end of the Settlement Benefit Period and it is not feasible and/or economically reasonable to
7 distribute the remaining funds to Class Members, through Court-approved *cy pres* payments.

8 4.4. The Federal Trade Commission ("FTC") is an independent government agency whose
9 mission is to prevent business practices that are anticompetitive, or deceptive or unfair to consumers.
10 Acting as an independent third party to the litigation between the PSC and Bosch, the Commission's
11 counsel met with Bosch and directed an allocation of the Bosch Settlement Fund among members of
12 the Bosch Settlement Class that Commission counsel would recommend that the Commission
13 accept. The FTC required that the parties accept its allocation, so the Settlement depended upon the
14 parties accepting the FTC's determination. Bosch tendered a final offer consistent with this
15 allocation and Class Counsel accepted that allocation. The FTC's allocation has been set forth in the
16 Long Form Notice.

17 4.5. Payments to Class Members from the Bosch Settlement Fund shall begin no later than
18 30 days after the entry of the Final Approval Order by the Court.

19 4.6. The Bosch Entities' obligations to comply with the requirements of this Agreement
20 are joint and several. In the event of the insolvency of either Bosch Entity or the failure by either
21 Bosch Entity to implement any requirement of the Class Action Settlement Agreement, the
22 remaining Bosch Entity shall complete all such requirements. Any legal successor or assign of any
23 Bosch Entity shall remain jointly and severally liable for the payment and other performance
24 obligations hereunder. The Bosch Entities shall include an agreement to so remain liable in the
25 terms of any sale, acquisition, merger or other transaction changing the ownership or control of
26 either Bosch Entity, and no change in the ownership or control of either Bosch Entity shall affect the
27 obligations hereunder of either Bosch Entity or any legal successor(s).

28

1 4.7. Nothing in this Class Action Settlement Agreement shall prevent the Claims
2 Administrator from providing Class benefits, upon appropriate proof, to, or for the benefit of, an
3 otherwise eligible Class Member, or that Class Member's estate or legal representative,
4 notwithstanding that Class Member's death, dissolution, or bankruptcy (whether discharged or
5 ongoing), in accordance with applicable law.

6 **5. CLASS CLAIMS PROCESS AND ADMINISTRATION**

7 5.1. The Claims Administrator shall be responsible for overseeing the implementation and
8 administration of the claims process, including validation of eligibility and approval of payments to
9 Class Members.

10 5.2. The Parties have agreed that the Claims Administrator shall utilize Class Member
11 information gathered and/or used during the administration of the Volkswagen Settlements in
12 carrying out its duties under this Section to the fullest extent possible.

13 5.3. Bosch shall pay reasonable and necessary fees and costs incurred by the Claims
14 Administrator for administration of this Class Action Settlement Agreement. Those fees and costs
15 will be paid by Bosch in addition to the required payment to the Bosch Settlement Fund.

16 5.4. Any Class Member whose filed claim has been approved or is approved in the future
17 in either or both of the Volkswagen Settlements will receive an Automatic Payment via check by
18 mail. Throughout the Settlement Benefit Period, the Claims Administrator will coordinate with the
19 Claims Supervisor in the Volkswagen Settlements to identify—on at least a bi-weekly basis—which
20 Class Members' Claims have been approved in the Volkswagen Settlements. The Claims
21 Administrator shall mail Automatic Payments to those Class Members no later than 10 business days
22 after it has identified them. The first Automatic Payments will be mailed by the Claims
23 Administrator no later than 10 business days after entry of the Final Approval Order.

24 5.5. Two categories of Class Members are entitled to compensation from the Bosch
25 Settlement Fund but will not receive Automatic Payments:

26 5.5.1. Eligible Owners, Eligible Sellers, and Eligible Lessees in the 2.0-liter Class
27 Action Settlement and Eligible Owners, Eligible Former Owners, Eligible
28 Lessees, and Eligible Former Lessees in the 3.0-liter Class Action Settlement

1 who excluded themselves from (“opted out” of) either or both of those
2 settlements (“Volkswagen Settlement Opt Outs”).

3 5.5.2. Eligible Sellers in the 2.0-liter Class Action Settlement who did not identify
4 themselves during the Eligible Seller Identification Period in the Volkswagen
5 2.0-liter Class Action Settlement (“Eligible Sellers Who Missed the Eligible
6 Seller Identification Deadline in the 2.0-liter Class Action Settlement”).

7 5.6. To be considered for compensation from the Bosch Settlement Fund, Volkswagen
8 Settlement Opt Outs must file a Claim no later than August 15, 2017. Claims eligibility will be
9 determined by the Claims Administrator. Claims can be filed on the Settlement Website or by mail.
10 Details regarding the Claims Process will be available on both the Settlement Website and (upon
11 request) by mail.

12 5.7. To be considered for compensation from the Bosch Settlement Fund, Eligible Sellers
13 Who Missed the Eligible Seller Identification Deadline in the 2.0-liter Class Action Settlement and
14 Eligible Former Owners who do not identify themselves by the Eligible Former Owner Identification
15 Deadline in the 3.0-liter Class Action Settlement and file a Claim by the Claim Submission Deadline
16 in the 3.0-liter Class Action Settlement must file a Claim no later than May 1, 2017.

17 5.8. Class Members whose Claims are approved or rejected by the Claims Administrator
18 will be notified by email or letter that the Claims Administrator will send within 5 business days of
19 the eligibility determination. The email/letter will explain why the Claim has been approved or
20 rejected.

21 5.9. Class Members whose Claims are approved by the Claims Administrator will receive
22 a compensation check by mail from the Bosch Settlement Fund, which check will be mailed by the
23 Claims Administrator no later than 10 business days after the eligibility determination has been
24 made.

25 5.10. The Court retains ongoing and exclusive jurisdiction and independent case
26 management authority, as MDL Transferee Judge and under Fed. R. Civ. P. 23, regarding the general
27 operation of the Claims Program and those appointed to implement and oversee it.
28

1 **6. REQUESTS FOR EXCLUSION**

2 **6.1. Manner of Opting Out.** The Parties agree that, to opt out validly from the Class, a
3 Class Member must personally sign and submit a written request to opt out stating “I wish to exclude
4 myself from the Bosch Settlement Class in *In re Volkswagen “Clean Diesel” Marketing, Sales*
5 *Practices and Products Liability Litigation*, No. 15-md-2672,” (or substantially similar clear and
6 unambiguous language) to the Claims Administrator on or before the Opt-Out Deadline. That
7 written request also will contain the Class Member’s printed name, address, and telephone number; a
8 statement as to whether the Class Member is an Eligible Owner, Eligible Seller, or Eligible Lessee in
9 the 2.0-liter Class Action Settlement or an Eligible Owner, Eligible Former Owner, Eligible Lessee,
10 or Eligible Former Lessee in the 3.0-liter Class Action Settlement; the VIN of the Eligible Vehicle(s)
11 forming the basis of the Class Member’s inclusion in the Class; the dates of the Class Member’s
12 ownership or lease of the Eligible Vehicle(s). The Claims Administrator will provide copies of all
13 opt-out requests to Class Counsel and counsel for Bosch within seven days of the receipt of each
14 such request. In the case of deficiencies, the Parties will confer and agree, subject to Court approval,
15 on what constitutes substantial and reasonable compliance with these requirements.

16 **6.2. Consequences of Failure To Opt Out in a Timely and Proper Manner.** All Class
17 Members who do not timely and properly opt out of the Class will in all respects be bound by all
18 terms of this Class Action Settlement Agreement and the Final Approval Order upon the entry of the
19 Final Approval Order.

20 **6.3. Opting Out and Objecting Are Mutually Exclusive Options.** Any Class Member
21 who elects to opt out pursuant to this Section may not also object to the Class Action Settlement
22 Agreement, pursuant to Section 7 herein. Any Class Member who elects to object pursuant to
23 Section 7 herein may not opt out pursuant to this Section.

24 **7. OBJECTIONS TO THE SETTLEMENT**

25 **7.1. Manner of Objecting.** Provided that a Class Member has not submitted a written
26 request to opt out, as set forth in Section 6, the Class Member may present written objections, if any,
27 explaining why he believes the Class Action Settlement Agreement should not be approved by the
28 Court as fair, reasonable, and adequate. No later than April 14, 2017, or such date as is ordered by

1 the Court, a Class Member who wishes to object to any aspect of the Class Action Settlement
2 Agreement must file with the Court, or as the Court otherwise may direct, a written statement of the
3 objection(s). The written statement of objection(s) must include a detailed statement of the Class
4 Member's objection(s), as well as the specific reasons, if any, for each such objection, including any
5 evidence and legal authority the Class Member wishes to bring to the Court's attention. That written
6 statement also will contain the Class Member's printed name, address, and telephone number; a
7 statement as to whether the Class Member is an Eligible Owner, Eligible Seller, or Eligible Lessee in
8 the 2.0-liter Class Action Settlement or an Eligible Owner, Eligible Former Owner, Eligible Lessee,
9 or Eligible Former Lessee in the 3.0-liter Class Action Settlement; the VIN of the Eligible Vehicle(s)
10 forming the basis of the Class Member's inclusion in the Class; a statement that the Class Member
11 has reviewed the Class definition and has not opted out of the Class; the dates of the Class Member's
12 ownership or lease of the Eligible Vehicle(s); and any other supporting papers, materials, or briefs
13 the Class Member wishes the Court to consider when reviewing the objection. Neither Bosch nor
14 Class Counsel will object to any reasonable request to file personal information under seal, subject to
15 approval by the Court. In the case of deficiencies, the Parties will confer and agree, subject to Court
16 approval, on what constitutes substantial and reasonable compliance with these requirements.

17 7.2. **Objecting Through Counsel.** A Class Member may object on his own behalf or
18 through a lawyer hired at that Class Member's own expense, provided the Class Member has not
19 submitted a written request to opt out, as set forth in Section 6. The objection must state whether it
20 applies only to the objector, to a specific subset of the Class, or to the entire Class, and also state
21 with specificity the grounds for the objection. Lawyers asserting objections on behalf of Class
22 Members must: (a) file a notice of appearance with the Court by the date set forth in the Preliminary
23 Approval Order, or as the Court otherwise may direct; (b) file a sworn declaration attesting to his or
24 her representation of each Class Member on whose behalf the objection is being filed or file (in
25 camera) a copy of the contract between that lawyer and each such Class Member; and (c) comply
26 with the procedures described in this Section.

27 7.3. **Intent To Appear at the Fairness Hearing.** A Class Member (or counsel
28 individually representing him or her, if any) seeking to make an appearance at the Fairness Hearing

1 must file with the Court, by the date set forth in the Preliminary Approval Order, a written notice of
2 his intent to appear at the Fairness Hearing, in accordance with the requirements set forth in the
3 Preliminary Approval Order, or by such time and in such manner as the Court may otherwise direct.

4 7.4. **Consequences of Failure To Object in a Timely and Proper Manner.** Unless the
5 Court directs otherwise, any Class Member who fails to comply with the provisions of this Section
6 will waive and forfeit any and all rights he may have to object to the Class Action Settlement
7 Agreement and/or to appear and be heard on said objection at the Fairness Hearing. Failure to object
8 waives a Class Member's right to appeal.

9 **8. NOTICE**

10 8.1. The Notice Administrator together with the Claims Administrator shall be responsible
11 for creating a notice program; for emailing, printing and mailing by First Class U.S. Mail, postage
12 paid, or arranging for the mailing of both the Short Form Notice and the Long Form Notice to Class
13 Members, and otherwise assisting with the distribution of information about this Class Action
14 Settlement Agreement to Class Members. The Parties and Volkswagen have agreed that, to the
15 extent possible, the Notice Administrator shall utilize Class Member contact information gathered
16 during the administration of the Volkswagen Settlements.

17 8.2. Bosch shall pay all reasonable and necessary fees and costs of the Notice
18 Administrator associated with providing notice under this Class Action Settlement Agreement. The
19 Notice Administrator shall submit a projected budget to Class Counsel and Bosch for preparing and
20 delivering the Notice as required by this Section, and shall not make expenditures that exceed the
21 projected budget for preparing and delivering the Notice by more than five percent without the prior
22 approval of Class Counsel and Bosch. Consistent with the requirements of Rule 23 and due process,
23 the Claims Administrator and the Notice Administrator shall coordinate to minimize costs in
24 effectuating the terms of this Class Action Settlement Agreement.

25 8.3. Within five business days of the issuance of the Preliminary Approval Order, Bosch
26 shall transfer or pay a sufficient amount to the Notice Administrator and Claims Administrator to
27 cover the initial costs of preparing and delivering the Notice as required by this Section.
28

1 8.4. The Notice Administrator shall send to each appropriate state and federal official the
2 materials specified in 28 U.S.C. § 1715 and otherwise comply with its terms. The identities of such
3 officials and the content of the materials shall be mutually agreed to by the Parties.

4 8.5. The Notice Administrator shall promptly after receipt provide copies of any requests
5 for exclusion, objections and/or related correspondence to the Parties.

6 **9. RELEASE AND WAIVER**

7 9.1. The Parties agree to the following release and waiver (the “Release”), which shall
8 take effect upon entry of the Final Approval Order. The terms of the Release are a material term of
9 the Class Action Settlement Agreement and will be reflected in the Final Approval Order.

10 9.2. **Released Parties.** The Released Parties include, without limitation, (1) Robert Bosch
11 GmbH, Robert Bosch LLC, and all current and former parents (direct or indirect), shareholders
12 (direct or indirect), members (direct or indirect), subsidiaries, affiliates, joint venture partners,
13 insurers, contractors, consultants, and auditors, and the predecessors, successors, and assigns of the
14 foregoing (the “Bosch Released Entities”); and (2) all current and former officers, directors, members
15 of the management or supervisory boards, employees, agents, advisors and attorneys of the Bosch
16 Released Entities (the “Bosch Released Personnel”).

17 9.3. **Class Release.** In consideration for the Bosch Settlement Fund and payments
18 therefrom as provided in this Class Action Settlement Agreement, the sufficiency of which is hereby
19 acknowledged, Class Members, on behalf of themselves and their agents, heirs, executors and
20 administrators, successors, assigns, insurers, attorneys, representatives, shareholders, owners
21 associations, and any other legal or natural persons who may claim by, through, or under them (the
22 “Releasing Parties”), fully, finally, irrevocably, and forever release, waive, discharge, relinquish,
23 settle, and acquit any and all claims, demands, actions, or causes of action, whether known or
24 unknown, that they may have, purport to have, or may have hereafter against any Released Party, as
25 defined above, that are related to any Eligible Vehicle and that arise from or are otherwise related to
26 conduct by a Released Party that predates the date of this Class Action Settlement Agreement. This
27 Release applies to any and all claims, demands, actions, or causes of action of any kind or nature
28 whatsoever, whether in law or in equity, contractual, quasi-contractual, or statutory, known or

1 unknown, direct, indirect or consequential, liquidated or unliquidated, past, present or future,
2 foreseen or unforeseen, developed or undeveloped, contingent or non-contingent, suspected or
3 unsuspected, whether or not concealed or hidden, related to any Eligible Vehicle and arising from or
4 otherwise related to conduct by a Released Party that predates the date of this Class Action
5 Settlement Agreement, including without limitation (1) any claims that were or could have been
6 asserted in the Action; (2) all marketing and advertising claims related to Eligible Vehicles; (3) all
7 claims arising out of or in any way related to emissions, emissions control equipment, electronic
8 control units, electronic transmission units, CAN-bus-related hardware, or software programs,
9 programing, coding, or calibration in Eligible Vehicles; (4) all claims arising out of or in any way
10 related to a 2.0-liter TDI Matter under the 2.0-liter Class Action Settlement and a 3.0-liter TDI
11 Matter under the 3.0-liter Class Action Settlement; and (5) any claims for fines, penalties, criminal
12 assessments, economic damages, punitive damages, exemplary damages, statutory damages or civil
13 penalties, liens, rescission or equitable or injunctive relief, attorneys', expert, consultant, or other
14 litigation fees, costs, or expenses, or any other liabilities, that were or could have been asserted in
15 any civil, criminal, administrative, or other proceeding, including arbitration (the "Released
16 Claims"). This Release applies without limitation to any and all such claims, demands, actions, or
17 causes of action regardless of the legal or equitable theory or nature under which they are based or
18 advanced including without limitation legal and/or equitable theories under any federal, state,
19 provincial, local, tribal, administrative, or international law, or statute, ordinance, code, regulation,
20 contract, common law, equity, or any other source, and whether based in strict liability, negligence,
21 gross negligence, punitive damages, nuisance, trespass, breach of warranty, misrepresentation,
22 breach of contract, fraud, or any other legal or equitable theory, whether under the laws of the United
23 States, a State, territory, or possession of the United States, or of any other foreign or domestic state,
24 territory, or other legal or governmental body, whether existing now or arising in the future, related
25 to any Eligible Vehicle and arising from or otherwise related to conduct by a Released Party that
26 predates the date of this Class Action Settlement Agreement. Without limiting the generality of the
27 foregoing, this Release does not apply to any claims concerning vehicles that are not Eligible
28

1 Vehicles. Furthermore, this Agreement does not release any claims for wrongful death or personal
2 injury or claims related to Bosch non-automotive products.

3 9.4. **Possible Future Claims.** For the avoidance of doubt, Class Members expressly
4 understand and acknowledge that they may hereafter discover claims presently unknown or
5 unsuspected, or facts in addition to or different from those that they now know or believe to be true,
6 related to the Eligible Vehicles, the Action, and/or the Release herein. Nevertheless, it is the
7 intention of Class Counsel and the Class Representatives in executing this Class Action Settlement
8 Agreement to fully, finally, irrevocably, and forever release, waive, discharge, relinquish, settle, and
9 acquit all such matters, and all claims relating thereto which exist, hereafter may exist, or might have
10 existed (whether or not previously or currently asserted in any action or proceeding) related to any
11 Eligible Vehicle and arising from or otherwise related to conduct by a Released Party that predates
12 the date of this Class Action Settlement Agreement.

13 9.5. **Waiver of California Civil Code Section 1542 and Analogous Provisions.** Class
14 Representatives expressly understand and acknowledge, and Class Members will be deemed to
15 understand and acknowledge Section 1542 of the California Civil Code, which provides:

16 **A GENERAL RELEASE DOES NOT EXTEND TO CLAIMS**
17 **WHICH THE CREDITOR DOES NOT KNOW OR SUSPECT**
18 **TO EXIST IN HIS OR HER FAVOR AT THE TIME OF**
19 **EXECUTING THE RELEASE, WHICH IF KNOWN BY HIM**
20 **OR HER MUST HAVE MATERIALLY AFFECTED HIS OR**
21 **HER SETTLEMENT WITH THE DEBTOR.**

22 Each Class Representative expressly acknowledges that he, she, or it has been advised by Class
23 Counsel of the contents and effect of Section 1542 and that he, she, or it has considered the
24 possibility that the number or magnitude of all claims may not currently be known. To ensure that
25 this Release is interpreted fully in accordance with its terms, Class Members expressly waive and
26 relinquish any and all rights and benefits that they may have under Section 1542 to the extent that
27 such section may be applicable to the Release. Class Members likewise expressly waive and
28 relinquish any rights or benefits of any law of any state or territory of the United States, federal law
or principle of common law, or of international or foreign law, which is similar, comparable,

1 analogous, or equivalent to Section 1542 of the California Code to the extent that such laws or
2 principles may be applicable to the Release.

3 **9.6. Individual Release.** Each Class Member who receives payment from the Bosch
4 Settlement Fund shall be required to expressly acknowledge, and thereby grant, an individual release
5 of Claims as set forth in this Section 9 that will take effect upon signing and depositing a
6 compensation check issued pursuant to this Agreement. Consistent with the Release provided in this
7 Agreement, each such individual release provides that the Class Member releases all of the Released
8 Parties from any and all present and future claims (as described in Sections 9.3 and 9.4) related to
9 any Eligible Vehicle that arise from or that are otherwise related to conduct by a Released Party that
10 predates the date of this Class Action Settlement Agreement. The individual release shall remain
11 effective even if the Final Approval Order is reversed and/or vacated on appeal, or if this Class
12 Action Settlement Agreement is abrogated or otherwise voided in whole or in part.

13 **9.7. Indemnity Release.** It is a condition precedent to entry of the Final Approval Order
14 that Volkswagen shall have delivered to the Released Parties, and Bosch shall have delivered to
15 Volkswagen, a general release, waiver, or covenant not to sue in respect of any and all claims for
16 indemnity, contribution, goodwill payment, or reimbursement, howsoever and under whatever legal
17 grounds arising, of costs or expenses arising from or relating in any way to electronic engine control
18 units or emissions control equipment in diesel passenger vehicles.

19 **9.8. Actions or Proceedings Involving Released Claims.** Class Members who do not
20 opt out expressly agree that this Release, and the Final Approval Order, is, will be, and may be raised
21 as a complete defense to, and will preclude, any action or proceeding specified in, or involving
22 claims encompassed by, this Release. Class Members who do not opt out shall not now or hereafter
23 institute, maintain, prosecute, assert, and/or cooperate in the institution, commencement, filing or
24 prosecution of any suit, action, and/or other proceeding, against the Released Parties with respect to
25 the claims, causes of action and/or any other matters subject to this Release. To the extent that they
26 have initiated, or caused to be initiated, any suit, action, or proceeding not already encompassed by
27 the Action, Class Members who do not opt out shall cause such suit, action, or proceeding to be
28 dismissed with prejudice. If a Class Member who does not opt out commences, files, initiates, or

1 institutes any new legal action or other proceeding for any Released Claim against any Released
2 Party in any federal or state court, arbitral tribunal, or administrative or other forum, (1) such legal
3 action or other proceeding shall be dismissed with prejudice and at that Class Member's cost; and (2)
4 the respective Released Party shall be entitled to recover any and all reasonable related costs and
5 expenses from that Class Member arising as a result of that Class Member's breach of his, her, or its
6 obligations under this Release. Within five business days of the entry of the Final Approval Order,
7 Class Counsel will dismiss with prejudice the claims against any Released Party in the Complaints
8 and all Released Claims in the Action asserted against any Released Party in the Action.

9 **9.9. Ownership of Released Claims.** Class Representatives represent and warrant that
10 they are the sole and exclusive owners of any and all claims that they personally are releasing under
11 this Class Action Settlement Agreement. Class Representatives further acknowledge that they have
12 not assigned, pledged, or in any manner whatsoever, sold, transferred, assigned or encumbered any
13 right, title, interest or claim related to any Eligible Vehicle and arising from or otherwise related to
14 conduct by a Released Party that predates the date of this Class Action Settlement Agreement,
15 including without limitation, any claim for benefits, proceeds or value under the Action, and that
16 Class Representatives are not aware of anyone other than themselves claiming any interest, in whole
17 or in part, in any benefits, proceeds or values to which Class Representatives may be entitled that are
18 related to any Eligible Vehicle and arising from or otherwise related to conduct by a Released Party
19 that predates the date of this Class Action Settlement Agreement. As part of the individual release
20 provided in Section 9.6, each Class Member who signs and deposits a check issued pursuant to this
21 Agreement represents and warrants that they are the sole and exclusive owner of all claims that they
22 personally are releasing under the Class Action Settlement Agreement and that they have not
23 assigned, pledged, or in any manner whatsoever, sold, transferred, assigned or encumbered any right,
24 title, interest or claim arising out of or in any way whatsoever pertaining to the Action, including
25 without limitation, any claim for benefits, proceeds or value under the Action, and that such Class
26 Member is not aware of anyone other than themselves claiming any interest, in whole or in part, in
27 any benefits, proceeds or values to which that Class Member may be entitled that are related to any
28

1 Eligible Vehicle and arising from or otherwise related to conduct by a Released Party that predates
2 the date of this Class Action Settlement Agreement.

3 9.10. **Total Satisfaction of Released Claims.** Any benefits pursuant to the Class Action
4 Settlement Agreement are in full, complete, and total satisfaction of all of the Released Claims
5 against the Released Parties. Such benefits are sufficient and adequate consideration for each and
6 every term of this Release, and this Release shall be irrevocably binding upon Class Representatives
7 and Class Members who do not opt out of the Class.

8 9.11. **Release Not Conditioned on Claim or Payment.** The Release shall be effective
9 with respect to all Releasing Parties, including all Class Members who do not opt out, regardless of
10 whether those Class Members ultimately receive a payment under this Class Action Settlement
11 Agreement.

12 9.12. **Basis for Entering Release.** Class Counsel acknowledge that they have conducted
13 sufficient independent investigation and discovery (including ongoing confirmatory discovery
14 pursuant to Section 16.5) to enter into this Class Action Settlement Agreement, and that they execute
15 this Class Action Settlement Agreement freely, voluntarily, and without being pressured or
16 influenced by, or relying on any statements, representations, promises, or inducements made by the
17 Released Parties or any person or entity representing the Released Parties, other than as set forth in
18 this Class Action Settlement Agreement. Class Representatives acknowledge, agree, and
19 specifically represent and warrant that they have discussed with Class Counsel the terms of this
20 Class Action Settlement Agreement and have received legal advice with respect to the advisability of
21 entering into this Class Action Settlement Agreement and the Release, and the legal effect of this
22 Class Action Settlement Agreement and the Release. The representations and warranties made
23 throughout the Class Action Settlement Agreement shall survive the execution of the Class Action
24 Settlement Agreement and shall be binding upon the respective heirs, representatives, successors and
25 assigns of the Parties.

26 9.13. **Material Term.** Class Representatives and Class Counsel hereby agree and
27 acknowledge that this Section 9 in its entirety was separately bargained for and constitutes a key,
28

1 material term of the Class Action Settlement Agreement that shall be reflected in the Final Approval
2 Order.

3 9.14. **Released Parties' Releases of Class Representatives, the Class, and Class**
4 **Counsel.** Upon the entry of the Final Approval Order, Released Parties absolutely and
5 unconditionally release and forever discharge the Class Representatives, Class Members, and Class
6 Counsel from any and all claims relating to the institution or prosecution of the Action.

7 9.15. **Jurisdiction.** The Court shall retain exclusive and continuing jurisdiction over all
8 Parties, the Action, and this Class Action Settlement Agreement to resolve any dispute that may arise
9 regarding this Class Action Settlement Agreement, including any dispute regarding validity,
10 performance, interpretation, administration, enforcement, enforceability, or termination of the Class
11 Action Settlement Agreement and no Party shall oppose the reopening and reinstatement of the
12 Action on the MDL Court's active docket for the purposes of effecting this Section.

13 Notwithstanding the foregoing sentence, the entry into this Class Action Settlement Agreement shall
14 not serve as a submission by Bosch to the jurisdiction of the Court in any other pending class, mass,
15 or individual action excluded from this Class Action Settlement Agreement, and Bosch expressly
16 reserves all of its rights to assert any defenses or arguments, including with respect to service of
17 process and personal jurisdiction, in any such action.

18 **10. ESCROW ACCOUNT**

19 10.1. Within ten business days after the Court enters the Preliminary Approval Order,
20 Bosch shall wire \$327,500,000 to the Escrow Account to create the Bosch Settlement Fund. Bosch
21 and Class Counsel shall negotiate with the Escrow Agent the terms of the Escrow Account,
22 including how the funds will be invested and the distribution of any investment or interest income.

23 10.2. At the conclusion of the Settlement Benefit Period, if any funds remain in the Escrow
24 Account, including all remaining investment income and interest accrued, and it is not feasible
25 and/or economically reasonable to distribute the remaining funds to Class Members, those funds
26 shall be distributed through Court-approved *cy pres* payments according to a distribution plan and
27 schedule filed by Class Counsel and approved by the Court.

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1 10.3. In the event that the Class Action Settlement Agreement is terminated or invalidated
2 for any reason prior to the conclusion of the Settlement Benefit Period, any funds in the Escrow
3 Account, including all remaining investment income and interest accrued, shall revert to Bosch.

4 **11. ATTORNEYS' FEES AND EXPENSES**

5 11.1. The Parties agree that reasonable attorneys' fees and expenses for work performed by
6 Class Counsel in connection with the Action, as well as work performed by other attorneys
7 designated by Class Counsel to perform work in connection with the Action, shall be paid from the
8 Bosch Settlement Fund. Bosch and Class Counsel represent that they have not discussed the amount
9 of fees and expenses to be paid prior to agreement on the terms of this Class Action Settlement
10 Agreement. At the same time that they file their Motion for Final Approval of this Agreement, Class
11 Counsel will file with the Court an application for attorneys' fees and expenses to be paid from the
12 Bosch Settlement Fund. Those fees and expenses shall be paid from the Escrow Account to an
13 escrow account specified by Lead Counsel within 48 hours of the later of (1) the date the Court
14 approves Class Counsel's fee application, or (2) the Effective Date of this Agreement. The Parties
15 agree that Bosch shall not be required to pay any amounts for attorneys' fees and expenses in
16 addition to the Bosch Settlement Fund.

17 **12. PROPOSED SCHEDULE FOR APPROVAL OF CLASS ACTION SETTLEMENT**
18 **AGREEMENT**

19 12.1. **Preliminary Approval Order.** As set forth in Section 3.1, on January 31, 2017, the
20 Parties shall file with the Court a Motion for Preliminary Approval of the Class Action Settlement
21 Agreement and Approval of Class Notice.

22 12.2. **Final Settlement Approval Order and Judgment.** On or before March 24, 2017, or
23 any subsequently mutually agreed upon date, Class Counsel shall file with the Court a motion
24 seeking a Final Approval Order.

25 **13. AGREEMENT TO COOPERATE TO EFFECTUATE SETTLEMENT**

26 13.1. Counsel for all Parties warrant and represent that they are expressly authorized by the
27 Parties whom they represent to negotiate this Class Action Settlement Agreement. The persons
28

1 signing this Class Action Settlement Agreement on behalf of each Party warrants that he/she is
2 authorized to sign this Class Action Settlement Agreement on behalf of that Party.

3 13.2. The Parties and their respective counsel will cooperate with each other, act in good
4 faith, and use their best efforts to effect the implementation of the Class Action Settlement
5 Agreement. In the event the Parties are unable to reach agreement on the form or content of any
6 document needed to implement the Class Action Settlement Agreement, or on any supplemental
7 provisions that may become necessary to effectuate the terms of this Class Action Settlement
8 Agreement, the Parties may seek the assistance of the Court to resolve such disagreement.

9 13.3. The Parties further agree to make all reasonable efforts to ensure the timely and
10 expeditious administration and implementation of the Class Action Settlement Agreement and to
11 minimize the costs and expenses incurred therein.

12 **14. MODIFICATION OR TERMINATION OF THIS CLASS ACTION SETTLEMENT**
13 **AGREEMENT**

14 14.1. The terms and provisions of this Class Action Settlement Agreement may be
15 amended, modified, or expanded by written agreement of the Parties and approval of the Court;
16 provided, however, that after entry of the Final Approval Order, the Parties may by written
17 agreement effect such amendments, modifications, or expansions of this Class Action Settlement
18 Agreement and its implementing documents (including all exhibits hereto) without further notice to
19 the Class or approval by the Court if such changes are consistent with the Court's Final Approval
20 Order and do not limit the rights of Class Members under this Class Action Settlement Agreement.

21 14.2. This Class Action Settlement Agreement shall terminate at the discretion of either
22 Bosch or the Class Representatives, through Class Counsel, if: (1) the Court, or any appellate
23 court(s), rejects, modifies, or denies approval of any portion of this Class Action Settlement
24 Agreement or the proposed Settlement that the terminating Party in its (or their) sole judgment and
25 discretion reasonably determine(s) is material, including, without limitation, the terms of relief, the
26 findings, or conclusions of the Court, the provisions relating to notice, the definition of the Class,
27 and/or the terms of the Release; or (2) the Court, or any appellate court(s), does not enter or
28 completely affirm, or alters, narrows or expands, any portion of the Final Approval Order, or any of

1 the Court's findings of fact or conclusions of law, that the terminating Party in its (or their) sole
2 judgment and discretion reasonably determine(s) is material. The terminating Party must exercise
3 the option to withdraw from and terminate this Class Action Settlement Agreement, as provided in
4 this Section 14, by a signed writing served on the other Parties no later than twenty days after
5 receiving notice of the event prompting the termination. The Parties will be returned to their
6 positions status quo ante.

7 14.3. If an option to withdraw from and terminate this Class Action Settlement Agreement
8 arises under Section 14.2 above, neither Bosch nor Class Representatives are required for any reason
9 or under any circumstance to exercise that option and any exercise of that option shall be in good
10 faith.

11 14.4. If, but only if, this Class Action Settlement Agreement is terminated pursuant to
12 Section 14.2, above, then:

13 14.4.1. This Class Action Settlement Agreement shall be null and void and shall have
14 no force or effect, and no Party to this Class Action Settlement Agreement
15 shall be bound by any of its terms, except for the terms of Section 14.4 and
16 14.5 herein;

17 14.4.2. The Parties will petition the Court to have any stay orders entered pursuant to
18 this Class Action Settlement Agreement lifted;

19 14.4.3. All of the provisions of this Class Action Settlement Agreement, and all
20 negotiations, statements, and proceedings relating to it, shall be without
21 prejudice to the rights of Bosch, Class Representatives, or any Class Member,
22 all of whom shall be restored to their respective positions existing
23 immediately before the execution of this Class Action Settlement Agreement,
24 except that the Parties shall cooperate in requesting that the Court set a new
25 scheduling order such that no Party's substantive or procedural rights are
26 prejudiced by the settlement negotiations and proceedings;

27 14.4.4. Subject to Section 14.5, Class Representatives and all other Class Members,
28 on behalf of themselves and their heirs, assigns, executors, administrators,

1 predecessors, and successors, expressly and affirmatively reserve and do not
2 waive all motions and positions as to, and arguments in support of, all claims,
3 causes of action or remedies that have been or might later be asserted in the
4 Action including, without limitation, any argument or position concerning
5 class certification, and treble or other damages;

6 14.4.5. Bosch expressly and affirmatively reserves and does not waive all motions
7 and positions as to, and arguments in support of, all defenses to the causes of
8 action or remedies that have been or might later be asserted in the Action
9 including, without limitation, any argument or position opposing class
10 certification, jurisdiction, liability, damages, or injunctive relief;

11 14.4.6. Neither this Class Action Settlement Agreement, the fact of its having been
12 entered into, nor the negotiations leading to it shall be admissible or entered
13 into evidence, except in an action to enforce Section 14.5;

14 14.4.7. Any settlement-related order(s) or judgment(s) entered in this Action after the
15 date of execution of this Class Action Settlement Agreement shall be deemed
16 vacated and shall be without any force or effect;

17 14.4.8. Bosch shall bear all reasonable and necessary costs incurred in connection
18 with the implementation of this Class Action Settlement Agreement up until
19 its termination. Neither the Class Representatives nor Class Counsel shall be
20 responsible for any such settlement-related costs; and

21 14.4.9. Within five (5) business days, any funds in the Escrow Account, including all
22 remaining investment income and interest accrued, shall revert to Bosch, after
23 any outstanding invoices or obligations of the Escrow Account are satisfied.

24 14.5. Notwithstanding the terms of Sections 14.4.1 through 14.4.9 above, if a Class
25 Member receives payment under the Class Action Settlement Agreement prior to its termination or
26 invalidation and the Class Member has endorsed and cashed or deposited or otherwise caused the
27 check to be cashed or deposited, such Class Member shall be deemed to have executed an individual
28 release as provided in Section 9.6, and such Class Member and Bosch shall be bound by the terms of

1 the individual release, which terms shall survive termination or invalidation of the Class Action
2 Settlement Agreement.

3 **15. REPRESENTATIONS AND WARRANTIES**

4 15.1. Class Counsel represent that: (1) they are authorized by the Class Representatives to
5 enter into this Class Action Settlement Agreement with respect to the claims asserted in the Action
6 and any other claims covered by the Release; and (2) they are seeking to protect the interests of the
7 Class.

8 15.2. Class Counsel further represent that the Class Representatives: (1) have agreed to
9 serve as representatives of the Class proposed to be certified herein; (2) are willing, able, and ready
10 to perform all of the duties and obligations of representatives of the Class; (3) have read the
11 pleadings in the Action, or have had the contents of such pleadings described to them; (4) have
12 consulted with Class Counsel about the obligations imposed on representatives of the Class; (5)
13 understand that they are entitled only to the rights and remedies of Class Members under this Class
14 Action Settlement Agreement and not to any additional compensation by virtue of their status as
15 Class Representatives; and (6) shall remain and serve as representatives of the Class until the terms
16 of this Class Action Settlement Agreement are effectuated, this Class Action Settlement Agreement
17 is terminated in accordance with its terms, or the Court at any time determines that said Class
18 Representatives cannot represent the Class.

19 15.3. Bosch represents and warrants that the individual(s) executing this Class Action
20 Settlement Agreement are authorized to enter into this Class Action Settlement Agreement on behalf
21 of Bosch.

22 15.4. The Parties acknowledge and agree that no opinion concerning the tax consequences
23 of the proposed Class Action Settlement Agreement to Class Members is given or will be given by
24 the Parties, nor are any representations or warranties in this regard made by virtue of this Class
25 Action Settlement Agreement. Each Class Member's tax obligations, and the determination thereof,
26 are the sole responsibility of the Class Member, and it is understood that the tax consequences may
27 vary depending on the particular circumstances of each individual Class Member.
28

1 **16. GENERAL MATTERS AND RESERVATIONS**

2 16.1. This Class Action Settlement Agreement will be binding upon, and inure to the
3 benefit of, the successors, transferees, and assigns of Bosch, the Class Representatives, and Class
4 Members.

5 16.2. Bosch's obligation to implement Sections 4 and 10 of this Class Action Settlement
6 Agreement is and shall be contingent upon each of the following and all of them:

7 16.2.1. Entry by the Court of the Preliminary Approval Order approving the Class
8 Action Settlement Agreement; and

9 16.2.2. The satisfaction of any other conditions set forth in this Class Action
10 Settlement Agreement.

11 16.3. The Parties and their counsel agree to keep the existence and contents of this Class
12 Action Settlement Agreement confidential until the date on which the Motion for Preliminary
13 Approval is filed; provided, however, that this Section shall not prevent Bosch from disclosing such
14 information, prior to the date on which the Motion for Preliminary Approval is filed, to state and
15 federal agencies, foreign government agencies, direct or indirect shareholders of Robert Bosch
16 GmbH, auditors, accountants, actuaries, advisors, financial analysts, insurers, or lawyers, or others as
17 may in the reasonable opinion of Bosch be required by law. The Parties and their counsel may also
18 disclose the existence and contents of this Class Action Settlement Agreement to persons or entities
19 (such as clients, experts, courts, co-counsel, and/or administrators) to whom the Parties agree
20 disclosure must be made in order to effectuate the terms and conditions of this Class Action
21 Settlement Agreement.

22 16.4. Class Representatives and Class Counsel agree that confidential information made
23 available to them solely through the settlement process was made available on the condition that it
24 not be disclosed to third parties (other than experts or consultants retained by Class Representatives
25 in connection with the Action).

26 16.5. The Parties agree that, upon execution of this Class Action Settlement Agreement,
27 responses to discovery requests served by Class Counsel on Bosch are indefinitely postponed, and
28 Class Counsel will seek no further discovery from Bosch Released Entities or Bosch Released

1 Personnel. The Parties agree that Class Counsel will continue to perform confirmatory discovery
2 work using information obtained through discovery prior to the execution of this Class Action
3 Settlement Agreement from Bosch or other parties to the Action, or any other discovery Class
4 Counsel may conduct other than from Bosch Released Entities or Bosch Released Personnel, until
5 the Court issues a Final Approval Order. At the conclusion of the Settlement Benefit Period, Class
6 Counsel will return or destroy all documents and tangible things produced by any Bosch Entity, as
7 provided in Section 14 of Pretrial Order No. 12, ECF No. 1255.

8 16.6. This Class Action Settlement Agreement, complete with its exhibits and all
9 documents filed with the Court, sets forth the entire agreement among the Parties with respect to its
10 subject matter, and it may not be altered, amended, or modified except by written instrument
11 executed by Class Counsel and counsel for Bosch. The Parties expressly acknowledge that no other
12 agreements, arrangements, or understandings not expressed in this Class Action Settlement
13 Agreement or the documents filed with the Court exist among or between them, and that in deciding
14 to enter into this Class Action Settlement Agreement, they have relied solely upon their own
15 judgment and knowledge. This Class Action Settlement Agreement and the accompanying
16 documents filed with the Court supersede any prior agreements, understandings, or undertakings
17 (written or oral) by and between the Parties regarding the subject matter of this Class Action
18 Settlement Agreement.

19 16.7. This Class Action Settlement Agreement and any amendments thereto, and any
20 dispute arising out of or related to this Class Action Settlement Agreement, shall be governed by and
21 interpreted according to the Federal Rules of Civil Procedure and applicable jurisprudence relating
22 thereto, and the laws of the State of California notwithstanding its conflict of law provisions.

23 16.8. Any disagreement and/or action to enforce this Class Action Settlement Agreement
24 shall be commenced and maintained only in the United States District Court for the Northern District
25 of California.

26 16.9. Whenever this Class Action Settlement Agreement requires or contemplates that one
27 of the Parties shall or may give notice to the other, notice shall be provided by e-mail and/or next-
28

1 day (excluding Saturdays, Sundays and Federal Holidays) express delivery service as follows, or to
2 such other addressee or address as either may notify the other in writing:

3 If to Bosch, then to:

4 Matthew D. Slater
5 Cleary Gottlieb Steen & Hamilton, LLP
6 2000 Pennsylvania Ave., NW
7 Washington, DC 20006
8 mslater@cgsh.com

9 If to the Class, then to:

10 Elizabeth J. Cabraser
11 Lieff, Cabraser, Heimann & Bernstein, LLP
12 275 Battery Street, 29th Floor
13 San Francisco, CA 94111-3339
14 ecabraser@lchb.com

15 16.10. All time periods in this Class Action Settlement Agreement shall be computed in
16 calendar days unless otherwise expressly provided. In computing any period of time in this Class
17 Action Settlement Agreement or by order of the Court, the day of the act or event shall not be
18 included. The last day of the period shall be included, unless it is a Saturday, a Sunday or a Federal
19 Holiday, or, when the act to be done is the filing of a paper in court, a day on which the court is
20 closed, in which case the period shall run until the end of the next day that is not one of the
21 aforementioned days. As used in this Class Action Settlement Agreement, "Federal Holiday"
22 includes holidays designated in Fed. R. Civ. P. 6(a) or by the Clerk of the United States District
23 Court for the Northern District of California.

24 16.11. The Parties reserve the right, subject to the Court's approval, to agree to any
25 reasonable extensions of time that might be necessary to carry out any of the provisions of this Class
26 Action Settlement Agreement.

27 16.12. The Class, Class Representatives, Class Counsel, Bosch, and/or counsel for Bosch
28 shall not be deemed to be the drafter of this Class Action Settlement Agreement or of any particular
provision, nor shall they argue that any particular provision should be construed against its drafter.
All Parties agree that this Class Action Settlement Agreement was drafted by counsel for the Parties
during extensive arm's-length negotiations. No parol or other evidence may be offered to explain,

1 construe, contradict, or clarify its terms, the intent of the Parties or their counsel, or the
2 circumstances under which this Class Action Settlement Agreement was made or executed.

3 16.13. The Parties expressly acknowledge and agree that this Class Action Settlement
4 Agreement and its exhibits, along with all related drafts, motions, pleadings, conversations,
5 negotiations, related notes, and correspondence, constitute an offer of compromise and a
6 compromise within the meaning of Federal Rule of Evidence 408 and any equivalent rule of
7 evidence in any state or territory.

8 16.14. The Parties agree that the Class Action Settlement Agreement was reached
9 voluntarily after consultation with competent legal counsel.

10 16.15. Neither this Class Action Settlement Agreement nor any act performed or document
11 executed pursuant to or in furtherance of this Class Action Settlement Agreement is or may be
12 deemed to be or may be used as an admission of, or evidence of, the validity of any of the Released
13 Claims, or of any wrongdoing or liability of any Released Parties; or is or may be deemed to be or
14 may be used as an admission of, or evidence of, any fault or omission of any Released Parties in any
15 civil, criminal, regulatory, or administrative proceeding in any court, administrative agency or other
16 tribunal. Nor shall this Class Action Settlement Agreement be deemed an admission by any Party as
17 to the merits of any claim or defense.

18 16.16. Any of the Released Parties may file this Class Action Settlement Agreement and/or
19 the Final Approval Order in any action that may be brought against it in order to support any defense
20 or counterclaim, including without limitation those based on principles of *res judicata*, collateral
21 estoppel, release, good faith settlement, judgment bar or reduction, or any other theory of claim
22 preclusion or issue preclusion or similar defense or counterclaim, unless the Class Action Settlement
23 Agreement is terminated pursuant to Section 14.2.

24 16.17. The Parties, their successors and assigns, and their counsel undertake to implement
25 the terms of this Class Action Settlement Agreement in good faith, and to use good faith in resolving
26 any disputes that may arise in the implementation of the terms of this Class Action Settlement
27 Agreement.

28

1 16.18. The waiver by one Party of any breach of this Class Action Settlement Agreement by
2 another Party shall not be deemed a waiver of any prior or subsequent breach of this Class Action
3 Settlement Agreement.

4 16.19. If one Party to this Class Action Settlement Agreement considers another Party to be
5 in breach of its obligations under this Class Action Settlement Agreement, that Party must provide
6 the breaching Party with written notice of the alleged breach and provide a reasonable opportunity to
7 cure the breach before taking any action to enforce any rights under this Class Action Settlement
8 Agreement.

9 16.20. The Parties, their successors and assigns, and their counsel agree to cooperate fully
10 with one another in seeking Court approval of this Class Action Settlement Agreement and to use
11 their best efforts to implement this Class Action Settlement Agreement.

12 16.21. This Class Action Settlement Agreement may be signed with an electronic or
13 facsimile signature and in counterparts, each of which shall constitute a duplicate original.

14 16.22. In the event any one or more of the provisions contained in this Class Action
15 Settlement Agreement shall for any reason be held to be invalid, illegal, or unenforceable in any
16 respect, such invalidity, illegality, or unenforceability shall not affect any other provision if Bosch
17 and Class Counsel, on behalf of Class Representatives and Class Members, mutually agree in writing
18 to proceed as if such invalid, illegal, or unenforceable provision had never been included in this
19 Class Action Settlement Agreement. Any such agreement shall be reviewed and approved by the
20 Court before it becomes effective.

21 FOR CLASS COUNSEL

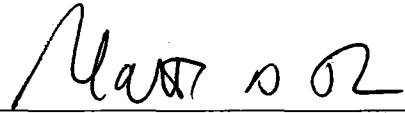
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23 Date: January 31, 2017

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25 Elizabeth J. Cabraser
26 LIEFF CABRASER HEIMANN &
27 BERNSTEIN, LLP
28 275 Battery Street, 29th Floor
San Francisco, CA 941111

1 COUNSEL FOR ROBERT BOSCH GMBH AND ROBERT BOSCH LLC:
2
3

4 Date: January 31, 2017




MATTHEW D. SLATER
CLEARY GOTTLIEB STEEN & HAMILTON
2000 Pennsylvania Ave. NW
Washington, DC 20006
Telephone: (202) 974-1500
Facsimile: (202) 974-1999
mslater@cgsh.com

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FOR ROBERT BOSCH GMBH:

Date: January 31, 2017



SEBASTIAN BIEDENKOPF
ROBERT BOSCH GMBH
Robert-Bosch-Platz 1
70839 Gerlingen-Schillerhöhe
Germany

Date: January 31, 2017



MARTIN REUTER
ROBERT BOSCH GMBH
Robert-Bosch-Platz 1
70839 Gerlingen-Schillerhöhe
Germany

1 FOR ROBERT BOSCH LLC:
2

3 Date: January 31, 2017



ERIK DYHRKOPP
ROBERT BOSCH LLC
38000 Hills Tech Drive
Farmington Hills, Michigan 48331

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5
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10 Date: January 31, 2017



MAXIMILIANE STRAUB
ROBERT BOSCH LLC
38000 Hills Tech Drive
Farmington Hills, Michigan 48331

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CERTIFICATE OF SERVICE

I hereby certify that, on January 31, 2017, service of this document was accomplished pursuant to the Court’s electronic filing procedures by filing this document through the ECF system.

/s/ Elizabeth J. Cabraser
Elizabeth J. Cabraser

Exhibit 1

Long Form

Notice

Bosch Settlement in the Volkswagen “Clean Diesel” Marketing, Sales Practices, & Products Liability Litigation

A federal court authorized this Notice. This is not a solicitation from a lawyer.

Robert Bosch GmbH and Robert Bosch LLC (“Bosch”) have reached a settlement (the “Bosch Settlement”) with counsel for the consumer class related to allegations that defeat device software was installed to bypass emissions standards in certain 2.0-liter and 3.0-liter diesel vehicles manufactured by Volkswagen, Audi and Porsche. The Bosch Settlement is part of a lawsuit called *In re Volkswagen “Clean Diesel” Marketing, Sales Practices, and Products Liability Litigation*, Case No. 3:15-md-02672-CRB (“MDL 2672”), in the United States District Court for the Northern District of California. The lawsuit is sometimes referred to as the “Clean Diesel Cases.” Claims against the Volkswagen, Audi and Porsche defendants also have recently settled (the “2.0-liter Class Action Settlement” and “3.0-liter Class Action Settlement,” together the “VW Settlements”).

The Bosch Settlement is in addition to the VW Settlements. The Bosch Settlement provides additional compensation to vehicle owners, former owners, lessees and former lessees, including reseller dealers, (“VW Class Members”) who filed or will file approved claims in the VW Settlements. The Bosch Settlement also provides the opportunity for compensation to VW Class Members who opted out of or who are otherwise not participating in the VW Settlements.

Again, this notice is for a settlement separate from and in addition to the VW Settlements. Regardless of whether you are participating in the VW Settlements, you may be entitled to compensation and have additional rights that you need to consider under the Bosch Settlement.

The following diesel engine vehicles (“Eligible Vehicles”) are included in the Bosch Settlement:

2.0-LITER CLASS VEHICLES	
MODEL	MODEL YEARS
Volkswagen Jetta TDI	2009-2015
Volkswagen Jetta SportWagen TDI	2009-2014
Volkswagen Beetle TDI	2012-2015
Volkswagen Beetle Convertible TDI	2012-2015
Audi A3 TDI	2010-2015
Volkswagen Golf TDI	2010-2015
Volkswagen Golf SportWagen TDI	2015
Volkswagen Passat TDI	2012-2015

3.0-LITER CLASS VEHICLES	
MODEL	MODEL YEARS
Volkswagen Touareg	2009-2016
Audi Q7	2009-2015
Audi A6	2014-2016
Audi A7	2014-2016
Audi A8, A8L	2014-2016
Audi Q5	2014-2016
Porsche Cayenne Diesel	2013-2016

You are eligible to participate in the Bosch Settlement if you are a class member in either or both of the VW Settlements—for more details, *see* Question 3 below. If you don't want to receive compensation through the Bosch Settlement, the date by which you must exclude yourself (opt out of) the Bosch Settlement is **April 14, 2017**.

Summary of the Bosch Class Action Settlement

Bosch will pay \$327.5 million to resolve all claims of consumers and reseller dealers that are pending in the Clean Diesel Cases. The lawyers representing the class ("Class Counsel") will seek attorneys' fees of a maximum of 16% of the Bosch Settlement fund plus expenses, but the Court must approve any fees and expenses before they are paid.

Class members in the VW Settlements whose claims in those settlements are approved and who do not exclude themselves from this Bosch Settlement will **automatically** receive a cash payment, as described in this Notice, via a check in the mail.

For more information about the VW Settlements, including whether or not you can participate, please go to www.VWCourtSettlement.com. For more information about this Bosch Settlement visit www.BoschVWSettlement.com.

Those who did not, and do not in the future, file a claim in either of the VW Settlements, including anyone who opted out of either or both of the VW Settlements or who did not register as an Eligible Seller in the 2.0-liter Class Action Settlement or an Eligible Former Owner in the 3.0-liter Class Action Settlement, must file a separate claim form to receive a payment from this Bosch Settlement. The deadline to do so is **May 1, 2017**. The deadline for class members who opted out of the VW Settlements to file a Bosch Settlement claim form is **August 15, 2017**. The deadline for Eligible Owners and Lessees who did not opt out of the VW Settlements, but also do not file a claim in the VW Settlements, to file a Bosch Settlement claim form is **December 31, 2019**.

The Federal Trade Commission ("FTC") is an independent government agency whose mission is to prevent business practices that are anticompetitive, or deceptive or unfair to consumers. Acting as an independent third party to the litigation between Class Counsel and Bosch, the Commission's counsel met with Bosch and directed an allocation of the Bosch Settlement fund among members of the Bosch Settlement Class that Commission counsel would recommend that the Commission accept. The FTC required that the parties accept its allocation, so the Settlement depended upon the parties accepting the FTC's determination. Bosch tendered a final offer consistent with this allocation and Class Counsel accepted that allocation.

- Persons eligible to participate in the 2.0-liter Class Action Settlement will receive a total of \$163,267,450, to be shared among 2.0-liter Class Members as set forth below.
- Persons eligible to participate in the 3.0-liter Class Action Settlement will receive a total of \$113,264,400, to be shared among 3.0-liter Class Members as set forth below.

Class members who want to opt out of the Bosch Settlement will have until **April 14, 2017** to do so.

This notice is only a summary of the Bosch Settlement. The full details of the Bosch Settlement are available online at www.BoschVWSettlement.com.

YOUR LEGAL RIGHTS UNDER THE BOSCH SETTLEMENT ARE AFFECTED EVEN IF YOU DO NOTHING. PLEASE READ THIS NOTICE CAREFULLY.
QUESTIONS? Go to www.BoschVWSettlement.com or call 1 (844) 305-1928

WHAT THIS NOTICE CONTAINS

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1. What options do I have?
2. If I don't request exclusion, when will I receive my payment?

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4. Is anyone excluded from the Bosch Settlement?
5. What are the "Clean Diesel" cases about?
6. I filed a claim in the 2.0-liter Class Action Settlement, the 3.0-liter Class Action Settlement, or in both settlements. Am I a class member here?
7. What if I timely registered as an Eligible Seller in the 2.0-liter Class Action Settlement or an Eligible Former Owner in the 3.0-liter Class Action Settlement? Am I a class member here?
8. What if I requested exclusion from one or both of the VW Settlements?
9. What if I have not yet filed my eligible claim in the 2.0-liter Class Action Settlement or the 3.0-liter Class Action Settlement?
10. What if I am not sure whether I am included in the Bosch Settlement?

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11. What cash payment will I receive if I do not request exclusion from the Bosch Settlement?
12. I did not file a claim in either the 2.0-liter Class Action Settlement or the 3.0-liter Class Action Settlement. How do I file a claim here?
13. If I must file a claim, what supporting documents are needed?
14. When will I receive my payment?
15. What are the tax implications of receiving a settlement payment?

UNDERSTANDING THE CLASS ACTION PROCESS.....PAGE 10

16. Why am I getting this Notice?
17. What is a class action?
18. Who are the Bosch Defendants?
19. How were these Settlements reached?
20. What am I giving up in exchange for receiving the Class Action Settlement benefits?
21. Am I releasing any personal injury or wrongful death claims if I participate in the Bosch Settlement?
22. What are my potential legal claims and remedies in this class action?
23. How do I get out of the Bosch Settlement?
24. If I do not exclude myself, can I sue the Bosch Defendants for the same thing later?
25. If I exclude myself, can I still get full benefits from the Bosch Settlement?
26. If I excluded myself from the 2.0-liter Class Action Settlement or the 3.0-liter Class Action Settlement, can I still get a payment from the Bosch Settlement?
27. If I opt out and pursue my case, could I get a larger recovery?
28. Do I have a lawyer in the case?
29. I've received solicitation letters from attorneys. Do I need to hire my own attorney to get money from the Bosch Settlement?
30. How will the lawyers be paid?
31. How do I tell the Court if I do not like the Bosch Settlement?
32. What is the difference between objecting to the Bosch Settlement and opting out?
33. When and where will the Court decide whether to approve the Bosch Settlement?

YOUR LEGAL RIGHTS UNDER THE BOSCH SETTLEMENT ARE AFFECTED EVEN IF YOU DO NOTHING. PLEASE READ THIS NOTICE CAREFULLY.
QUESTIONS? Go to www.BoschVWSettlement.com or call 1 (844) 305-1928

34. Do I have to attend the hearing?

35. May I speak at the hearing?

GETTING MORE INFORMATION.....PAGE 16

36. How do I get more information?

**YOUR LEGAL RIGHTS UNDER THE BOSCH SETTLEMENT ARE AFFECTED EVEN IF
YOU DO NOTHING. PLEASE READ THIS NOTICE CAREFULLY.
QUESTIONS? Go to www.BoschVWSettlement.com or call 1 (844) 305-1928**

BASIC INFORMATION**1. What options do I have?**

YOUR LEGAL RIGHTS AND OPTIONS IN THE BOSCH SETTLEMENT	
PARTICIPATE	<p>If you filed in the past or file in the future an approved claim in either of the VW Settlements and you do not exclude yourself from the Bosch Settlement, you will automatically receive a cash payment if the Bosch Settlement is approved. You will also give up your right to ever be part of any other lawsuit against the Bosch Defendants about the claims being resolved in the Bosch Settlement.</p> <p>If you were an Eligible Seller in the 2.0-liter Class Action Settlement but you missed the Eligible Seller Identification Deadline, or are an Eligible Former Owner who does not file a timely claim in the 3.0-liter Class Action Settlement, you must file a claim in the Bosch Settlement no later than May 1, 2017 to participate. Eligible Sellers whose claims were timely and approved in the 2.0-liter Class Action Settlement and Eligible Former Owners whose claims are approved in the future in the 3.0-liter Class Action Settlement will automatically receive cash payments from the Bosch Settlement.</p> <p>If you exclude yourself from either of the VW Settlements, you may still participate in the Bosch Settlement, but you must file a claim by August 15, 2017 to do so.</p>
REQUEST EXCLUSION	Get no payment from the Bosch Settlement fund. This is the only option that allows you to sue the Bosch Defendants separately over the claims being resolved by this settlement. The deadline for this is April 14, 2017 .
FILE A CLAIM	If you do not file an approved Claim in either of the VW Settlements, or if you request exclusion from either or both of the VW Settlements, you will not receive an automatic payment from the Bosch Settlement. If this describes you, you must file a claim form in the Bosch Settlement to participate in the Bosch Settlement and to receive a payment.
OBJECT	Write to the Court about why you do not like the Bosch Settlement.
GO TO A HEARING	Ask to speak in Court about the fairness of the Bosch Settlement.

2. If I don't request exclusion, when will I receive my payment?

The earliest possible time payments can begin is shortly after May __, 2017. After Preliminary Approval of the Bosch Settlement was granted, the Bosch Defendants deposited \$327.5 million into an escrow account. The Final Approval Hearing is currently set for May __, 2017 (*see* Question 33 below). If the Court grants final approval of the Bosch Settlement, payments will begin shortly thereafter.

YOUR LEGAL RIGHTS UNDER THE BOSCH SETTLEMENT ARE AFFECTED EVEN IF YOU DO NOTHING. PLEASE READ THIS NOTICE CAREFULLY.
QUESTIONS? Go to www.BoschVWSettlement.com or call 1 (844) 305-1928

CLASS MEMBERSHIP QUESTIONS

3. Am I included in the Bosch Settlement?

The Bosch Settlement Class includes all class members in the VW Settlements (both the 2.0-liter and 3.0-liter Settlement Classes):

2.0-liter Settlement Class: All persons (including individuals and entities) who:

- on September 18, 2015, were registered owners or lessees of an Eligible Vehicle in the United States or its territories;
- on September 18, 2015, held title to, or held by bill of sale dated on or before September 18, 2015, an Eligible Vehicle in the United States or its territories; or
- between September 18, 2015, and the end of the claim period, become a registered owner of, or hold title to or hold by bill of sale dated before the end of the Claims Period, an Eligible Vehicle in the United States or its territories.

3.0-liter Settlement Class: All persons (including individuals and entities) who:

- are currently registered owners of an Eligible Vehicle in the United States or its territories, or who on September 18, 2015 or on November 2, 2015 were registered owners of an Eligible Vehicle in the United States or its territories;
- on September 18, 2015 or on November 2, 2015 held title to an Eligible Vehicle in the United States or its territories or held it by bill of sale dated on or before November 2, 2015; or
- whose leases are or were issued by VW Credit, Inc., and who are current lessees of, or who, on September 18, 2015 or November 2, 2015, were lessees of, an Eligible Vehicle.

4. Is anyone excluded from the Bosch Settlement?

The following entities and individuals are **excluded** from the class:

- Bosch's officers, directors, and employees; and Bosch's affiliates and affiliates' officers, directors, and employees;
- Volkswagen, Porsche and Audi officers, directors, and employees; and Volkswagen's, Porsche's and Audi's affiliates and affiliates' officers, directors, and employees;
- Any Volkswagen, Porsche or Audi franchise Dealers;
- Judicial officers and their immediate family members and associated court staff assigned to this case; and
- All those otherwise in the Class who or which timely and properly exclude themselves from the Class as provided in this Class Action Settlement Agreement.

YOUR LEGAL RIGHTS UNDER THE BOSCH SETTLEMENT ARE AFFECTED EVEN IF YOU DO NOTHING. PLEASE READ THIS NOTICE CAREFULLY.
QUESTIONS? Go to www.BoschVWSettlement.com or call 1 (844) 305-1928

5. What are the “Clean Diesel” cases about?

On September 18, 2015, the Environmental Protection Agency (“EPA”) and the California Air Resources Board (“CARB”) issued a notice of violation to Volkswagen and Audi relating to the 2.0-liter Eligible Vehicles sold under the Volkswagen and Audi brands in the United States since 2008. On November 2, 2015, the EPA and CARB issued a notice of violation to Volkswagen, Audi and Porsche relating to the 3.0-liter Eligible Vehicles in the United States sold under Volkswagen, Audi and Porsche brands.

The class action alleges that Volkswagen, Audi and Porsche hired Bosch to program the computers in Eligible Vehicles to detect when the cars were undergoing official emissions testing. The class action alleges the cars turned on their full emissions control systems only during testing, but that they were not turned on during normal road use, which caused the cars to emit significantly more pollutants than permitted, in violation of U.S. and state clean air laws.

The United States on behalf of the EPA, the State of California by and through CARB and the Attorney General of California, the FTC, and attorneys who represent owners and lessees of Volkswagen, Audi and Porsche vehicles, filed lawsuits against various Volkswagen entities. The lawsuits filed by DOJ/EPA and CARB assert that Volkswagen, Audi and Porsche violated the Clean Air Act and the California Health and Safety Code, and the lawsuits filed by Plaintiffs and the FTC assert that Volkswagen and others intentionally misled consumers about the qualities and characteristics of the diesel engine vehicles sold under the Volkswagen and Audi brands. The government entities did not sue Bosch, but the private Plaintiffs did. The Bosch Settlement resolves the claims the private Plaintiffs brought against Bosch.

6. I filed a claim in the 2.0-liter Class Action Settlement, the 3.0-liter Class Action Settlement, or in both Settlements. Am I a class member here?

Yes, the Bosch Settlement includes those whose claims are approved in the VW Settlements. If you filed (or will file) a claim in one or both of those settlements and the claim is approved, you are a class member here and will be eligible for an automatic payment if you do not opt out of the Bosch Settlement.

7. What if I timely registered as an Eligible Seller in the 2.0-liter Class Action Settlement or an Eligible Former Owner in the 3.0-liter Class Action Settlement? Am I a class member here?

Yes, the Bosch Settlement includes those who timely registered and were verified as an Eligible Seller in the 2.0-liter Class Action Settlement or an Eligible Former Owner in the 3.0-liter Class Action Settlement. If you registered and were or will be verified as an Eligible Seller in the 2.0-liter Settlement or an Eligible Former Owner in the 3.0-liter Settlement, you are a class member here and will be eligible for an automatic payment if you do not opt out.

Note that the deadline to register as an Eligible Seller has passed for the 2.0-liter Settlement. If you believe you would have qualified as an Eligible Seller in the 2.0-liter Settlement and did not identify yourself in time, you may still file a claim in the Bosch Settlement. The deadline for Eligible Sellers who missed the Eligible Seller deadline in the 2.0-liter Settlement to file a claim in the Bosch Settlement is **May 1, 2017**.

Eligible Former Owners who do not file a claim in the 3.0-liter Settlement can also file a claim in the Bosch Settlement before **May 1, 2017**.

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8. What if I requested exclusion from one or both of the VW Settlements?

If you requested exclusion from the 2.0-liter Settlement or if you choose to do so in the 3.0-liter Settlement, you can still be paid in the Bosch Settlement for your claims against Bosch. You should read the Bosch Settlement Class definition in Question 3 above. Note that if you previously requested exclusion from the 2.0-liter Settlement or if you choose to do so in the 3.0-liter Settlement, and if you want to receive a payment from the Bosch Settlement, you must file a claim form in the Bosch Settlement before **August 15, 2017**.

9. What if I have not yet filed my eligible claim in the 2.0-liter Class Action Settlement or the 3.0-liter Class Action Settlement?

If you have not yet filed a claim in either of the VW Settlements, you may still receive a payment from the Bosch Settlement. If you file a timely and valid claim in either Settlement before the relevant claim filing deadline, you will receive an automatic settlement payment from the Bosch Settlement unless you exclude yourself from the Bosch Settlement. The claim filing deadline for the 2.0-liter Class Action Settlement is September 1, 2018, and the claim filing deadline for the 3.0-liter Class Action Settlement is December 31, 2019.

10. What if I am not sure whether I am included in the Bosch Settlement?

If you are not sure whether you are included in the Bosch Settlement, you may visit www.BoschVWSettlement.com (or call 1 (844) 305-1928).

CASH BENEFITS AVAILABLE

11. What cash payment will I receive if I do not request exclusion from the Bosch Settlement?

The Federal Trade Commission (“FTC”) is an independent government agency whose mission is to prevent business practices that are anticompetitive, or deceptive or unfair to consumers. Acting as an independent third party to the litigation between Class Counsel and Bosch, the Commission’s counsel met with Bosch and directed an allocation of the Bosch Settlement fund among members of the Bosch Settlement Class that Commission counsel would recommend that the Commission accept. The FTC required that the parties accept its allocation, so the Settlement depended upon the parties accepting the FTC’s determination. Bosch tendered a final offer consistent with this allocation and Class Counsel accepted that allocation.

The Bosch Settlement funds will be allocated to Class members as follows:

- (i) An Eligible Owner of an Eligible Vehicle in the 2.0-liter Class Action Settlement will receive \$350, except that if an Eligible Seller has identified himself or herself and filed an approved claim for the Eligible Vehicle, or if an Eligible Lessee has identified himself or herself and filed an approved claim for the Eligible Vehicle, the Eligible Owner will receive \$175.
- (ii) An Eligible Seller in the 2.0-liter Class Action Settlement who has identified himself or herself and filed an approved claim will receive \$175.

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- (iii) An Eligible Lessee in the 2.0-liter Class Action Settlement will receive \$200.
- (iv) An Eligible Owner of an Eligible Vehicle in the 3.0-liter Class Action Settlement will receive \$1,500, with three exceptions:
 - 1. If an Eligible Former Owner has identified himself or herself and filed an approved claim for the Eligible Vehicle in the 3.0-liter Class Action Settlement, the \$1,500 payment will be split equally (\$750 each) between the Eligible Owner and the Eligible Seller.
 - 2. An Eligible Owner will also receive \$750 if an Eligible Former Lessee has identified himself or herself and filed an approved claim for the Eligible Vehicle in the 3.0-liter Class Action Settlement.
 - 3. If two Eligible Former Owners have identified themselves and filed approved claims for the Eligible Vehicle in the 3.0-liter Class Action Settlement, the \$1,500 will be split among the Eligible Owner and the two Eligible Former Owners, with \$750 going to the Eligible Owner and \$375 each to the two Eligible Former Owners.
- (v) An Eligible Lessee in the 3.0-liter Class Action Settlement will receive \$1,200.

The above payments are net payments to Class members. They will not be reduced by attorneys' fees or expenses.

The entire Bosch Settlement fund, plus any interest, will be distributed over the course of the settlement process through a combination of one or more payments to Bosch Settlement Class members, and if approved by the Court, payment of attorneys' fees and expenses from the settlement. If any money remains in the fund at the end of the claims process, the parties may request that the Court approve *cy pres* payments (generally payments to a charity or charities related to the terms of a settlement) if it is not administratively and/or economically feasible to distribute the remaining money *pro rata* to the Bosch Settlement Class members.

12. I did not file a claim in either the 2.0-liter Class Action Settlement or the 3.0-liter Class Action Settlement. How do I file a claim here?

If you do not file a claim in either the 2.0-liter Class Action Settlement or in the 3.0-liter Class Action Settlement, you will need to file a claim in the Bosch Settlement to receive a payment from the Bosch Settlement. You can file your claim online at www.BoschVWSettlement.com or by mail or fax, as the Claims Supervisor provides, to Bosch Settlement Claims Administrator, PO Box 5110, Portland, OR 97208-5110.

The deadline to file a claim in the Bosch Settlement is **December 31, 2019**. There are two exceptions: if you excluded yourself from the VW Settlements, the deadline is **August 15, 2017**; and if you missed the deadline to identify yourself as an Eligible Seller in the 2.0-liter Class Action Settlement or do not identify yourself as an Eligible Former Owner in the 3.0-liter Class Action Settlement, the deadline is **May 1, 2017**.

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13. If I must file a claim, what supporting documents are needed?

To start your claim, go to www.BoschVWSettlement.com and submit your VIN (Vehicle Identification Number). You will be asked to submit supporting documentation, including (depending on your particular circumstances):

- Vehicle registration;
- Vehicle title (owners with no lien);
- Lease contract (Lessees); and/or
- Driver's license (or other state-approved government-issued photo identification).

14. When will I receive my payment?

If you have already filed a 2.0-liter or 3.0-liter claim that was approved in the 2.0-liter or 3.0-liter VW Class Action Settlements, you will automatically receive a payment from the Bosch Settlement once the Settlement is approved (if you do not request exclusion). The earliest this could take place is shortly after May __, 2017. If you file a claim in one of the VW Settlements in the future, you will receive a payment from the Bosch Settlement after your claim in the VW Class Action Settlement is approved. If you do not file a claim in either of the VW Class Action Settlements, but file a claim in the Bosch Settlement, you will receive a payment as soon as your claim is approved.

15. What are the tax implications of receiving a settlement payment?

While it is the intention of the parties that any payments made as a result of the Bosch Settlement not be subject to taxation, you should consult a tax professional to assess the specific tax implications of any payment you may receive. A tax professional will help you understand the specific tax implications for you.

UNDERSTANDING THE CLASS ACTION PROCESS

16. Why am I getting this Notice?

You are receiving this Notice because you may be a member of the Bosch Settlement Class. The Court in charge of this case authorized this Notice because class members have a right to know about the proposed settlement of this lawsuit, and to understand all of their options before the Court decides whether or not to approve the Bosch Settlement. This Notice summarizes the Bosch Settlement and explains class members' legal rights and options under the Bosch Settlement.

Judge Charles R. Breyer of the United States District Court for the Northern District of California is in charge of this case. The case is known as *In re Volkswagen "Clean Diesel" Marketing, Sales Practices, and Products Liability Litigation*, No. 3:15-md-2672. The people who sued are called the "Plaintiffs." Bosch is a "Defendant."

17. What is a class action?

A class action is a representative lawsuit. One or more plaintiffs (who are also called "class representatives") sue on behalf of themselves and all other people with similar claims, who are not

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named, but are described in the class definition and are called “class members.” When a class action is settled, the Court resolves the issues in the lawsuit for all class members, except for those who request exclusion from (or “opt out” of) the class. Opting out means that you will not receive benefits under the Bosch Settlement. The opt out process is described in Questions 23 to 27 of this Notice.

18. Who are the Bosch Defendants?

Bosch is the manufacturer of the software in the 2.0-liter and 3.0-liter Eligible Vehicles that controlled whether or not the emissions systems operated in a manner compliant with EPA and CARB standards.

19. How were these Settlements reached?

After extensive Court-ordered negotiations, supervised by former FBI Director Robert Mueller (the Court-appointed Settlement Master), Class Counsel and Bosch agreed to settle the claims in this case. There are multiple settlements in this case, including settlements with certain states and other U.S. government agencies, because there are multiple lawsuits brought or contemplated by different government entities, and by the vehicle owners themselves. The Bosch Settlement, if approved, will resolve most of the claims related to the affected 2.0-liter and 3.0-liter TDI diesel engine vehicles that were brought against the Bosch Defendants.

A settlement is an agreement between a plaintiff (or multiple plaintiffs) and a defendant (or multiple defendants) to resolve a lawsuit. Settlements end all or part of a lawsuit without a trial, and without the court or a jury ruling in favor of the plaintiff(s) or the defendant(s). A settlement allows the parties to avoid the costs and risks of a trial, and the very significant time delays of litigation. Class Counsel believe that the proposed Bosch Settlement is fair and reasonable for the class, and that it is in the public and environmental interest.

20. What am I giving up in exchange for receiving the Bosch Settlement benefits?

In exchange for your payment from Bosch, you will give up your right to sue the Bosch Defendants for the claims being resolved by the Bosch Settlement (*see* Question 24 below). The Bosch settlement has no effect on claims concerning other Bosch products, or for vehicles not included in the Bosch Settlement.

Section 9 of the Bosch Settlement Agreement contains the complete text and details of what class members give up unless they exclude themselves from the Bosch Settlement, so please read it carefully. The Bosch Settlement Agreement is available at www.BoschVWSettlement.com. If you have any questions, you may talk to the law firms listed in Question 28 for free, or you may talk to your own lawyer.

21. Am I releasing any personal injury or wrongful death claims if I participate in the Bosch Settlement?

No. The Bosch Settlement does not affect or release any personal injury or wrongful death claims you may have, now or in the future.

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22. What are my potential legal claims and remedies in this class action?

There are over 150 claims for relief in this nationwide class action, including some claims that seek treble or punitive damages. The list of claims starts on page 208 of the Consolidated Consumer Class Action Complaint, filed on February 22, 2016, in the Northern District of California. The Consolidated Consumer Class Action Complaint can be found at this link <http://www.cand.uscourts.gov/crb/vwmdl> or by visiting the settlement website at www.BoschVWSettlement.com and reviewing the “Court Documents” section. If you have any questions about the claims and remedies in the class action, you may talk to the law firms listed in Question 28 for free, or you may talk to your own lawyer.

23. How do I get out of the Bosch Settlement?

If you do not want to receive benefits from the Bosch Settlement, and you want to retain the right to sue the Bosch Defendants about the legal issues in this case, then you must take steps to remove yourself from the Bosch Settlement. You may do this by asking to be excluded—sometimes referred to as “opting out” of—the Bosch Settlement. To do so, you must mail a letter or other written document to the Court-Appointed claims supervisor. Your request must include:

- Your name, address, and telephone number;
- A statement that “I wish to exclude myself from the Bosch Settlement in *In re: Volkswagen “Clean Diesel” Marketing, Sales Practices, and Products Liability Litigation*, No. 3:15-md-2672 (N.D. Cal.); and
- Your personal signature and date.

You must mail your exclusion request, **postmarked** no later than **April 14, 2017**, to Opt Out Bosch Settlement, P.O. Box 5110, Portland, OR 97208-5110

24. If I do not exclude myself, can I sue the Bosch Defendants for the same thing later?

No. Unless you exclude yourself, you give up the right to sue the Bosch Defendants for all of the claims that the Bosch Settlement resolves.

25. If I exclude myself, can I still get full benefits from the Bosch Settlement?

No. If you exclude yourself, you will not get a payment from the Bosch Settlement.

26. If I excluded myself from the 2.0-liter Class Action Settlement or the 3.0-liter Class Action Settlement, can I still get a payment from the Bosch Settlement?

Yes. If you excluded yourself from either or both of the VW Settlements, you can still participate in the Bosch Settlement and receive a payment. If you are a Bosch Settlement Class member, you are eligible to participate in the Bosch Settlement. **Note that if you requested exclusion from a VW Settlement you were eligible for, you must file a claim in the Bosch Settlement to receive a payment from the Bosch Settlement.**

If you requested exclusion from one of the VW Settlements and you also want to preserve your rights to sue the Bosch Defendants, you must also request exclusion here as described in Questions 23 to 25 above.

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27. If I opt out and pursue my case, could I get a larger recovery?

The law of most states provides for various remedies, including actual damages, punitive or multiple damages, and rescission, if a claim is proved at trial and upheld on appeal. None of these can be predicted with certainty, and all take additional time and may be subject to offsets or deductions for attorneys' fees and costs. The Bosch Settlement is designed to provide benefits that are certain, not subject to the delay and risk of trial and appeal, and not reduced by fees or costs.

28. Do I have a lawyer in the case?

Yes. The Court has appointed a number of lawyers to represent all class members as "Class Counsel," without charge to you. They are:

<p>Elizabeth Cabraser, Lead Counsel Lieff Cabraser Heimann & Bernstein, LLP 275 Battery Street, 29th Floor San Francisco, CA 94111</p>	<p>Joseph F. Rice Motley Rice LLC 28 Bridgeside Boulevard Mt. Pleasant, SC 29464</p>
<p>Lynn Lincoln Sarko Keller Rohrback L.L.P. 1201 Third Avenue, Suite 3200 Seattle, WA 98101</p>	<p>Christopher A. Seeger Seeger Weiss LLP 77 Water Street New York, NY 10005</p>
<p>Steve W. Berman Hagens Berman Sobol Shapiro LLP 1918 Eighth Avenue, Suite 3300 Seattle, WA 98101</p>	<p>Jayne Conroy Simmons Hanly Conroy, LLC 112 Madison Avenue New York, NY 10016</p>
<p>Benjamin L. Bailey Bailey and Glasser LLP 209 Capital Street Charleston, WV 25301</p>	<p>Paul J. Geller Robbins Geller Rudman and Dowd LLP 120 East Palmetto Park Road, Suite 500 Boca Raton, FL 33432</p>
<p>David Boies Boies Schiller and Flexner 333 Main Street Armonk, NY 10504</p>	<p>Robin L. Greenwald Weitz & Luxenberg, P.C. 700 Broadway New York, NY 10003</p>
<p>David Seabold Casey, Jr. Casey Gerry Schenk Francavilla Blatt & Penfield LLP 110 Laurel St San Diego, CA 92101</p>	<p>Michael D. Hausfeld Hausfeld LLP 1700 K Street NW, Suite 650 Washington, DC 20006</p>
<p>James E. Cecchi Carella Byrne Cecchi Olstein Brody & Agnello, P.C. 5 Becker Farm Road</p>	<p>Michael Everett Heygood Heygood, Orr, Pearson 6363 North State Highway 161, Suite 450 Irving, TX 75038</p>

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<p>Roseland, NJ 07068</p> <p>Roxanne Barton Conlin Roxanne Conlin and Associates 319 7th Street, Suite 600 Des Moines, IA 50309</p> <p>W. Daniel “Dee” Miles III Beasley Allen Crow Methvin Portis & Miles 218 Commerce Street P.O. Box 4160 Montgomery, AL 36103</p> <p>Frank Mario Pitre Cotchett Pitre & McCarthy LLP San Francisco Airport Office Center 840 Malcolm Road, Suite 200 Burlingame, CA 94010</p> <p>Rosemary M. Rivas Finkelstein Thompson LLP 1 California Street, Suite 900 San Francisco, CA 94111</p>	<p>Adam J. Levitt Grant & Eisenhofer P.A. 30 North LaSalle Street, Suite 1200 Chicago, IL 60602</p> <p>J. Gerard Stranch IV Branstetter, Stranch & Jennings, PLLC 223 Rosa L. Parks Avenue Suite 200 Nashville, TN 37203</p> <p>Roland K. Tellis Baron Budd, P.C. 15910 Ventura Boulevard Encino Plaza, Suite 1600 Encino, CA 91436</p> <p>Lesley Elizabeth Weaver Bleichmar Fonti & Auld LLP 1999 Harrison Street, Suite 670 Oakland, CA 94612</p>
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You will not be charged for contacting these lawyers.

29. I’ve received solicitation letters from attorneys. Do I need to hire my own attorney to get money from the Bosch Settlement?

No. Class Counsel will represent you at no charge to you apart from the Court-approved attorneys’ fees and expenses awarded from this Settlement. If you want to be represented by your own lawyer, you may hire one at your own expense. It is possible that you will receive less money overall if you choose to hire your own lawyer to litigate against the Bosch Defendants rather than receive compensation from this Settlement.

30. How will the lawyers be paid?

Class Counsel will ask the district court to award attorneys’ fees of a maximum of 16 percent of the Settlement fund plus expenses from the Bosch Settlement fund, and those fees and expenses are subject to approval by the Court. Bosch Defendants will not have to pay any attorneys’ fees or expenses in any amount over and above the Bosch Settlement fund. Class members will have an opportunity to comment on and/or object to this request at an appropriate time.

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31. How do I tell the Court if I do not like the Bosch Settlement?

If you do not exclude yourself from the Bosch Settlement, you may object to it. The Court will consider your views. To comment on or to object to the Bosch Settlement, you or your attorney must submit your written objection to the Court, including the following:

- Your name, address, and telephone number;
- A statement saying that you object to the Bosch Settlement in *In re: Volkswagen “Clean Diesel” Marketing, Sales Practices, and Products Liability Litigation*, No. 3:15-md-2672 (N.D. Cal.);
- The reasons you object to the Bosch Settlement, along with any supporting materials;
- The VIN of your Eligible Vehicle and the dates you owned or leased the Eligible Vehicle; and
- Your signature and date.

In addition, if you intend to appear at the final approval hearing (the “Fairness Hearing”), you must submit a written notice of your intent (*see* Questions 34 and 35 below).

You must mail your objection to the three addresses below postmarked no later than **April 14, 2017**:

COURT	CLASS COUNSEL	DEFENSE COUNSEL
Clerk of the Court/Judge Charles R. Breyer Phillip Burton Federal Building & United States Courthouse 450 Golden Gate Avenue San Francisco, CA 94102	Elizabeth Cabraser Lief Cabraser Heimann & Bernstein, LLP 275 Battery Street, 29th Floor San Francisco, CA 94111	Matthew D. Slater Cleary Gottlieb 20000 Pennsylvania Avenue, NW Washington, D.C. 20006

32. What is the difference between objecting to the Bosch Settlement and opting out?

You can object only if you do not opt out of the class. Opting out is telling the Court that you do not want to be part of the Bosch Settlement, and you do not want to receive any payment from the Bosch Settlement. If you opt out, you have no basis to object to the Bosch Settlement by telling the Court you do not like something about it, because the case no longer affects you.

33. When and where will the Court decide whether to approve the Bosch Settlement?

The Court will hold the final approval or “Fairness Hearing” on **May __, 2017, at 8:00 a.m.**, at the United States District Court for the Northern District of California, located at the United States Courthouse, 450 Golden Gate Avenue, 17th Floor, San Francisco, CA 94102, before determining whether to approve the Bosch Settlement. The hearing may be moved to a different date or time without additional notice, so it is a good idea to check www.BoschVWSettlement.com or call 1 (844) 305-1928. At this hearing, the Court will hear evidence about whether the Bosch Settlement is fair, reasonable, and adequate. If there are objections, the Court will consider them and may listen to people who have asked to speak at the hearing. After the hearing, the Court will decide whether to approve the Bosch Settlement. We do not know how long that decision will take.

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The Court also may consider the request for attorneys' fees and reasonable costs by Class Counsel (*see* Question 30) during the Fairness Hearing, or at a time that will be set at a later date by the Court. Please check the Bosch Settlement website to determine when the Court will hear the attorneys' fees and expenses motion.

34. Do I have to attend the hearing?

No. Class Counsel will answer questions the Court may have. You are welcome to attend at your own expense. If you timely file an objection, you do not have to come to Court to talk about it. As long as you mailed your written objection on time, the Court will consider it. You also may have your own lawyer attend at your expense, but it is not necessary.

35. May I speak at the hearing?

You may ask the Court for permission to speak at the Fairness Hearing. The Court will determine whether to grant you permission to speak. To do so, you must send a letter stating that it is your "Notice of Intention to Appear in the Bosch Settlement in *In re: Volkswagen "Clean Diesel" Marketing, Sales Practices, and Products Liability Litigation*, No. 3:15-md-2672 (N.D. Cal.)." Be sure to include your name, address, telephone number, and your signature. Your notice of intention to appear must be postmarked no later than **April 14, 2017**, and sent to all of the addresses listed in Question 31.

GETTING MORE INFORMATION

36. How do I get more information?

This Notice summarizes the proposed Bosch Settlement. More details are in the Bosch Settlement Agreement. You can get a copy of all of this and other documents at www.BoschVWSettlement.com. You also may write with questions to Bosch Settlement, P.O. Box 5110, Portland, OR 97208-5110 or call 1 (844) 305-1928.

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QUESTIONS? Go to www.BoschVWSettlement.com or call 1 (844) 305-1928

Exhibit 2

Short Form

Notice

This Is an Important, Court-Approved Notice About a Class Action Settlement

Case 3:15-md-02672-CRB Document 2837-2 Filed 01/31/17 Page 2 of 5

You are receiving this notice because you are a current or former owner/lessee of a 2.0-liter FDI Volkswagen or Audi vehicle. You may be eligible to receive an **additional** payment from a settlement with **Bosch**, another defendant in the same lawsuit ("Bosch Settlement"). Your payment could be up to **\$350 in addition to** what you may be eligible to receive from the 2.0-liter Settlement with Volkswagen/Audi ("2.0-liter Settlement").

What Are My Rights? What Do I Need To Do?

- If you already filed, or file in the future, a claim in the 2.0-liter Settlement and your claim is approved, you will **automatically** receive a payment through the Bosch Settlement. Go to **www.VWCourtSettlement.com** to file a claim online any time before **September 1, 2018**.
- If you have excluded yourself from the 2.0-liter Settlement, you can still file a claim in the Bosch Settlement until **August 15, 2017**.
- If you are an Eligible Seller and missed the Identification Deadline in the 2.0-liter Settlement, you have until **May 1, 2017** to file a claim in the Bosch Settlement.
- If you do not file a claim in the 2.0-liter settlement, you can still file a claim in the Bosch Settlement until **December 31, 2019**.
- You can object to or exclude yourself from the Bosch Settlement by **April 14, 2017**. If you do not exclude yourself from the Bosch Settlement, you will not be able to sue Bosch separately for the claims this Settlement resolves.
- Any exclusion request or objection you submitted for the 2.0-liter Settlement has no effect in the Bosch Settlement, except that you will have to submit a claim form in the Bosch Settlement to receive benefits.
- The Court will hold a hearing on May __, 2017 to consider whether to grant final approval to the Bosch Settlement.
- Attorneys representing the class will request Court approval of attorneys' fees and costs. Those fees and costs will be paid from the settlement fund.
- Visit **www.BoschVWSettlement.com** for more details on the Bosch Settlement and your rights and options.

Court-Approved Settlement Notice



ADDITIONAL CASH PAYMENT

**Volkswagen/Audi
2.0-liter Diesel Emissions
New Settlement with
Bosch, the supplier of the
software that was
installed in your vehicle.**

SETTLEMENT CLAIMS ADMINISTRATOR
PO Box 5110
Portland, OR 97208-5110

This Is an Important, Court-Approved Notice About a Class Action Settlement

Case 3:15-md-02672-CRB Document 2837-2 Filed 01/31/17 Page 4 of 5

You are receiving this notice because you are a current or former owner/lessee of a 3.0-liter TDI Volkswagen, Audi or Porsche vehicle. You also recently received notice of a separate settlement concerning the emissions system on your 3.0-liter vehicle. You are eligible for an **additional** payment from a settlement with **Bosch**, another defendant in the same lawsuit ("Bosch Settlement"). Your payment could be up to \$1,500 **in addition to** what you may be eligible to receive from the 3.0-liter Settlement with Volkswagen/Audi/Porsche ("3.0-liter Settlement").

What Are My Rights? What Do I Need To Do?

- If you file a claim in the 3.0-liter Settlement and your claim is approved, you will **automatically** receive a payment through the Bosch Settlement. Go to **www.VWCourtSettlement.com** to file a 3.0-liter claim online any time before **December 31, 2019**, or register as an Eligible Former Owner by **May 1, 2017**.
- If you exclude yourself in the 3.0-liter Settlement, you will have until **August 15, 2017** to file a claim in the Bosch Settlement. There is one exception: To participate in the Bosch Settlement, Eligible Former Owners who exclude themselves from, or do not file a claim in, the 3.0-liter Settlement must file a claim in the Bosch Settlement by **May 1, 2017**.
- You can object to or exclude yourself from the Bosch Settlement by **April 14, 2017**. If you do not exclude yourself from the Bosch Settlement, you will not be able to sue Bosch separately for the claims this Settlement resolves.
- Any exclusion request or objection you may submit in the 3.0-liter Settlement has no effect in the Bosch Settlement, except that you will have to submit a claim in the Bosch Settlement to receive benefits.
- The Court will hold a hearing on May __, 2017 to consider whether to grant final approval to the Bosch Settlement.
- Attorneys representing the class will request Court approval of attorneys' fees and costs. Those fees and costs will be paid from the settlement fund.
- Visit **www.BoschVWSettlement.com** for more details on the Bosch Settlement and your rights and options.

Court-Approved Settlement Notice



ADDITIONAL CASH PAYMENT

**Volkswagen/Audi/Porsche
3.0-liter Diesel Emissions
New Settlement with Bosch,
the supplier of
the software that was
installed in your vehicle.**

SETTLEMENT CLAIMS ADMINISTRATOR
PO Box 5110
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